

# Advanced Algorithms

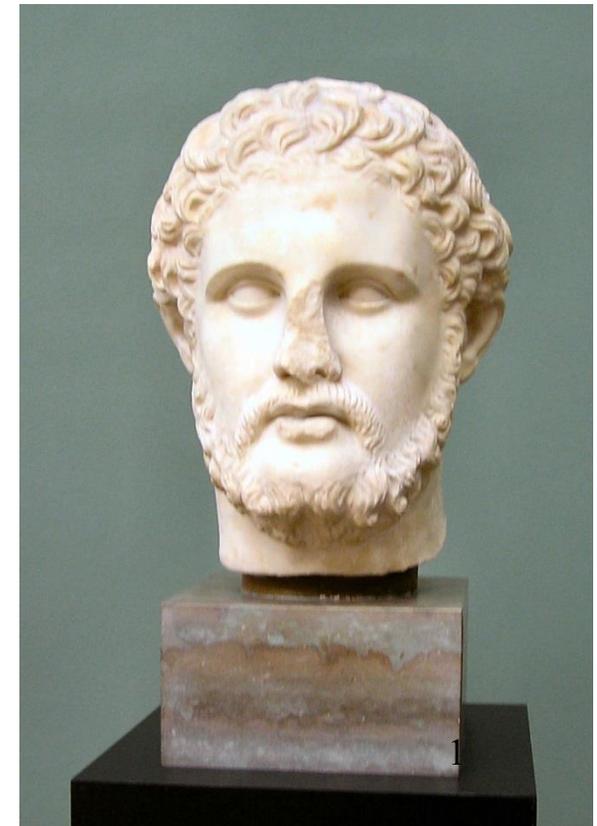
Problem solving Techniques.

Divide and Conquer

הפרד ומשול



"We already have quite a few people who know how to divide. So essentially, we're now looking for people who know how to conquer."



# Divide and Conquer

- A method of designing algorithms that (informally) proceeds as follows:
- Given an instance of the problem to be solved, **split** it into several, smaller, sub-instances (*of the same problem*); **independently solve** each of the sub-instances and then **combine** the sub-instance solutions so as to yield a solution for the original instance.

# Divide and Conquer

**Question:** By what methods the sub-instances are independently solved ?

**Answer:** By the same method, till we have a constant size problem that can be solved in constant time.

This simple answer is central to the concept of *Divide-&-Conquer* algorithms, and is a key factor in measuring their efficiency.

# Divide and Conquer: Outline

- **Divide** the problem into a number of sub-problems (similar to the original problem but smaller);
- **Conquer** the sub-problems by solving them recursively (if a sub-problem is small enough, just solve it in a straightforward manner).
- **Combine** the solutions for the sub-problems into a solution for the original problem

# Example 1: Binary Search

- A directory contains a set of *names* and a telephone *number* is associated with each name.
- The directory is sorted by alphabetical order of names. It contains  $n$  entries each having the form [name, number]
- Given a *name* and the value  $n$ , the problem is to find the *number* associated with the name
- We assume that any given input name actually *does occur* in the directory.

# Binary Search

The Divide & Conquer algorithm for this problem is based on the following:

Given a name, say  $X$ , there are 3 possibilities:

$X$  occurs in the *middle* of the *names* array

Or

$X$  occurs in the *first half* of the *names* array.

Or

$X$  occurs in the *second half* of the *names* array.

# Binary Search

```
function binsearch (X: name; start, finish: int)
begin middle := (start+finish)/2;
  if name(middle)=x return number(middle);
  else if X < name(middle) return
    binsearch(X,start,middle-1);
    else [X > name(middle)] return
      binsearch(X,middle+1,finish);
  end if;
end search;
```

region of answer



# Binary Search

- **Divide** the  $n$ -element array into a middle element and two sub-arrays of  $n/2 - 1$  elements.
- **Conquer**: Consider the middle element, if name not found, ignore one sub-array, and solve the problem for the other sub-array using **Binary search**
- **Combine**: Empty.

# Binary Search - Performance Analysis

- $T(1) = c_1$  (constant time)
- for  $n > 1$ , we have

$$T(n) = T(n/2) + c_2$$

$$T(n) = \begin{cases} c_1 & \text{if } n = 1 \\ T(n/2) + c_2 & \text{if } n > 1 \end{cases}$$

# Example 2: Merge Sort

- **Sorting problem:** Given an array, order the elements according to some order (say increasing value)
- **Merge sort:** A *sort* algorithm that splits the elements to be sorted into two groups, *recursively* sorts each group, and *merges* them into a final, sorted sequence.

# Merge Sort

- **Divide** the  $n$ -element sequence to be sorted into two subsequences of  $n/2$  elements each
- **Conquer**: Sort the two subsequences recursively using merge sort
- **Combine**: merge the two sorted subsequences to produce the sorted answer
- recursion base case: if the subsequence has only one element, then do nothing.

# Merge-Sort( $A, p, r$ )

sorts the elements in the sub-array  $A[p..r]$  using divide and conquer

- Merge-Sort( $A, p, r$ )
  - if  $p \geq r$ , do nothing
  - if  $p < r$  then  $q \leftarrow \lfloor (p+r)/2 \rfloor$ 
    - Merge-Sort( $A, p, q$ )
    - Merge-Sort( $A, q+1, r$ )
    - Merge( $A, p, q, r$ )
- Start by calling Merge-Sort( $A, 1, n$ )
- Do we need an example?

# Performance Analysis

Known: two sorted arrays of sizes  $n_1$  and  $n_2$  can be merged in time  $c(n_1+n_2)$ .

Let  $T(n)$  denote the time it takes to sort an  $n$ -elements array.

- $T(1) = O(1)$
- for  $n > 1$ ,  $T(n) = 2T(n/2) + cn$  ← Merging time

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ 2T(n/2) + cn & \text{if } n > 1 \end{cases}$$

# Example 3: Counting Inversions

- Music site tries to match your song preferences with others.
  - You rank  $n$  songs.
  - Music site consults database to find people with **similar** tastes.
- Similarity metric: number of inversions between two rankings.

*Songs*

	A	B	C	D	E
Me	1	2	3	4	5
You	1	3	4	2	5

Inversions  
3-2, 4-2

- My rank:  $1, 2, \dots, n$ . Your rank:  $a_1, a_2, \dots, a_n$ .
- Songs  $i$  and  $j$  **inverted** if  $i < j$ , but  $a_i > a_j$ .
- Brute force: check all  $\Theta(n^2)$  pairs  $i$  and  $j$ .

# Counting Inversions: Divide-and-Conquer

- **Divide:** separate list into two pieces.
- **Conquer:** recursively count inversions in each half.
- **Combine:** count inversions where  $a_i$  and  $a_j$  are in different halves, and return sum of three quantities.

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

Divide:  $O(1)$ .

1	5	4	8	10	2	6	9	12	11	3	7
---	---	---	---	----	---	---	---	----	----	---	---

5 blue-blue inversions

8 green-green inversions

Conquer:  $2T(n/2)$

9 blue-green inversions

5-3, 4-3, 8-6, 8-3, 8-7, 10-6, 10-9, 10-3, 10-7

Combine: ???

Total =  $5 + 8 + 9 = 22$ .

# Counting Inversions: Combine

- Combine: count blue-green inversions
    - Assume each half is **sorted**.
    - Count inversions where  $a_i$  and  $a_j$  are in different halves.
    - **Merge** two sorted halves into sorted whole.
- } Merge-and-Count

3	7	10	14	18	19
---	---	----	----	----	----

2	11	16	17	23	25
6	3	2	2	0	0

13 blue-green inversions:  $6 + 3 + 2 + 2 + 0 + 0$

Count:  $O(n)$

2	3	7	10	11	14	16	17	18	19	23	25
---	---	---	----	----	----	----	----	----	----	----	----

Merge:  $O(n)$

$$T(n) \leq T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n)$$

# Merge and Count

## Merge and count step.

- Given two sorted halves, count number of inversions where  $a_i$  and  $a_j$  are in different halves.
- Combine two sorted halves into sorted whole.

$i = 6$



two sorted halves



auxiliary array

Total:

# Merge and Count

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two sorted halves

6



auxiliary array

Total: 6

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two sorted halves

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auxiliary array

Total: 6

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two sorted halves

6



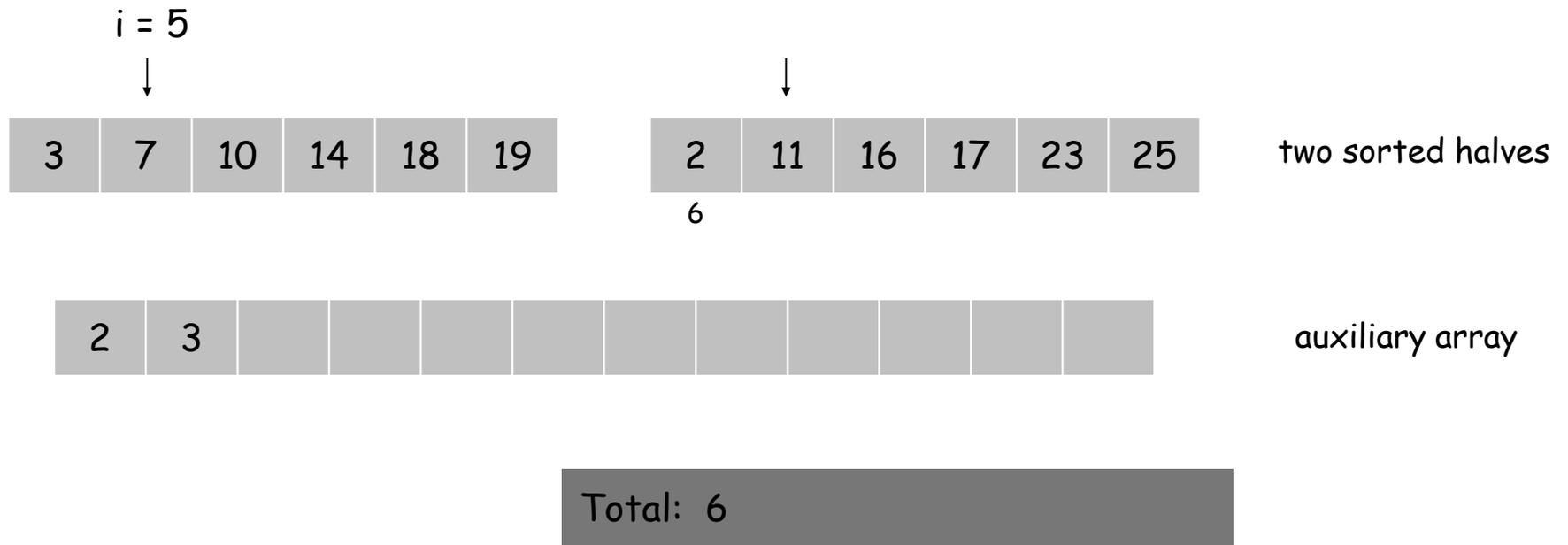
auxiliary array

Total: 6

# Merge and Count

## Merge and count step.

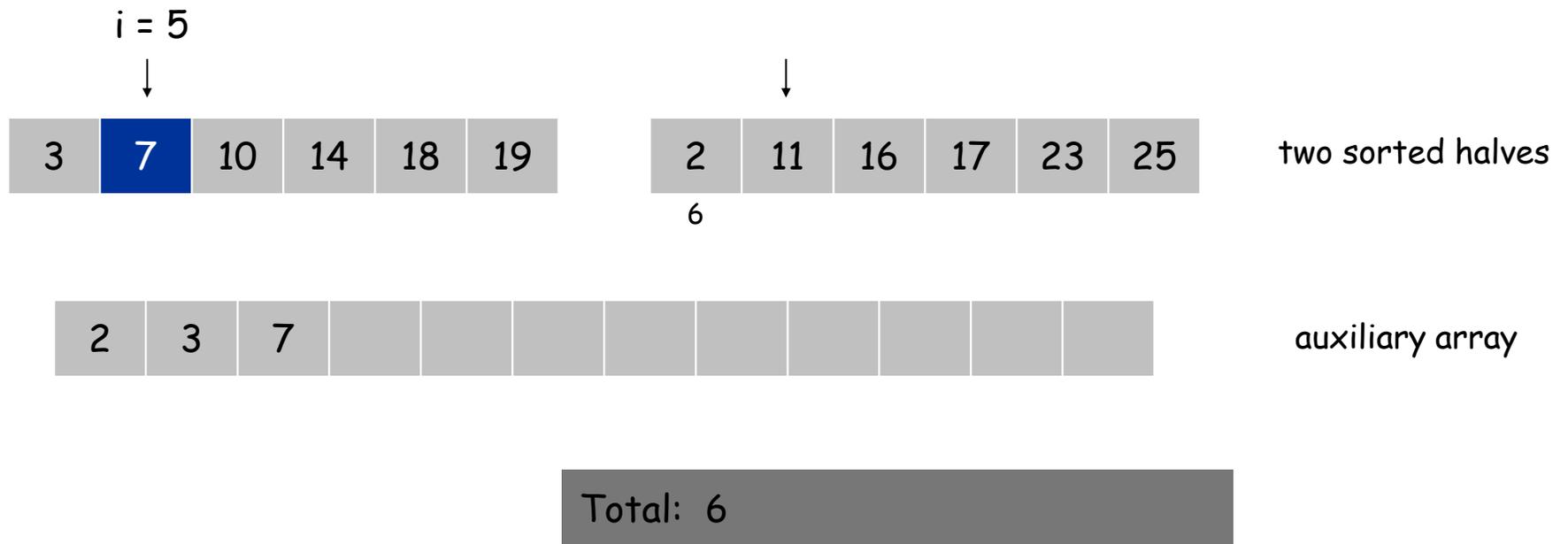
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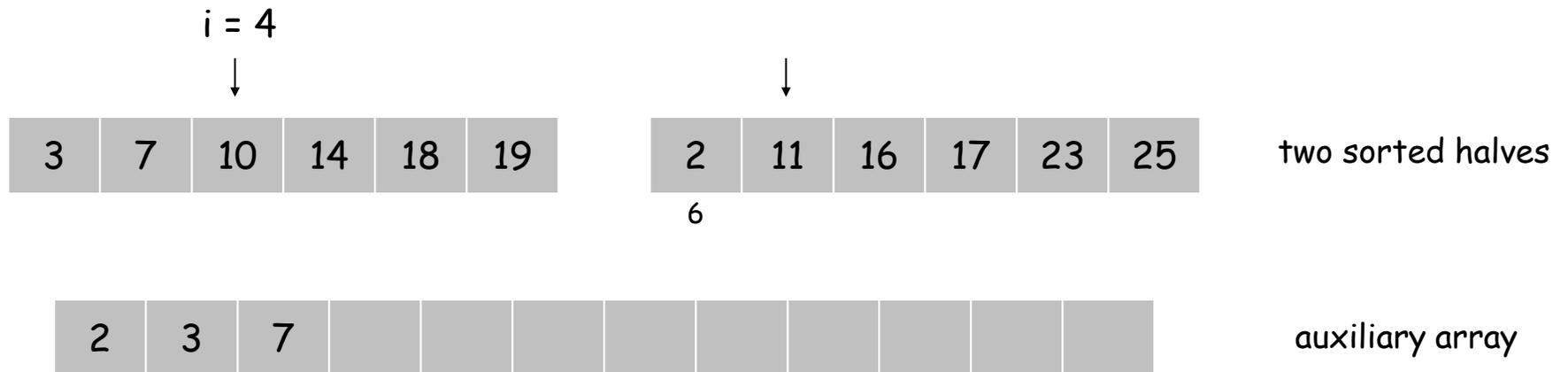
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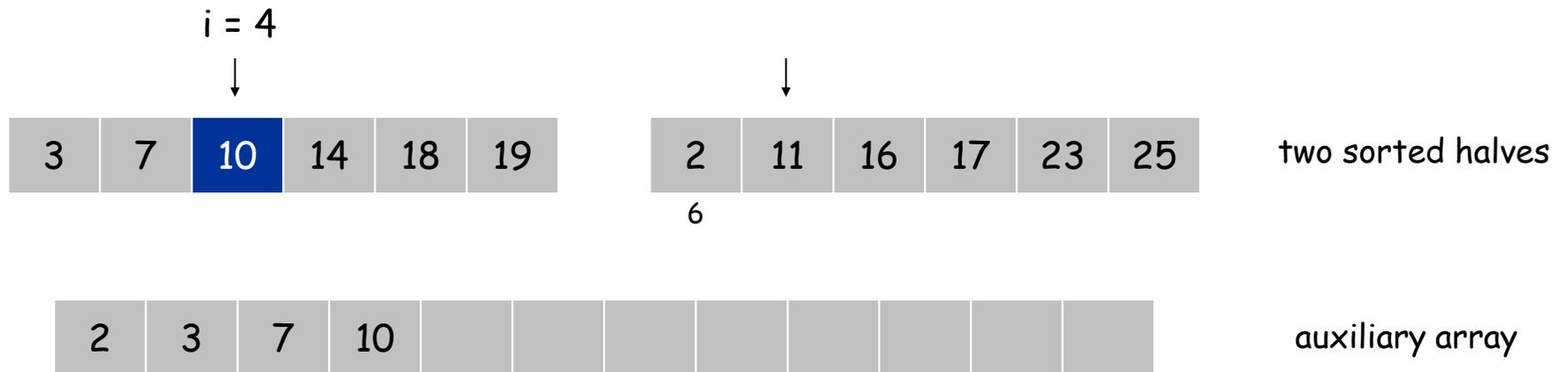


Total: 6

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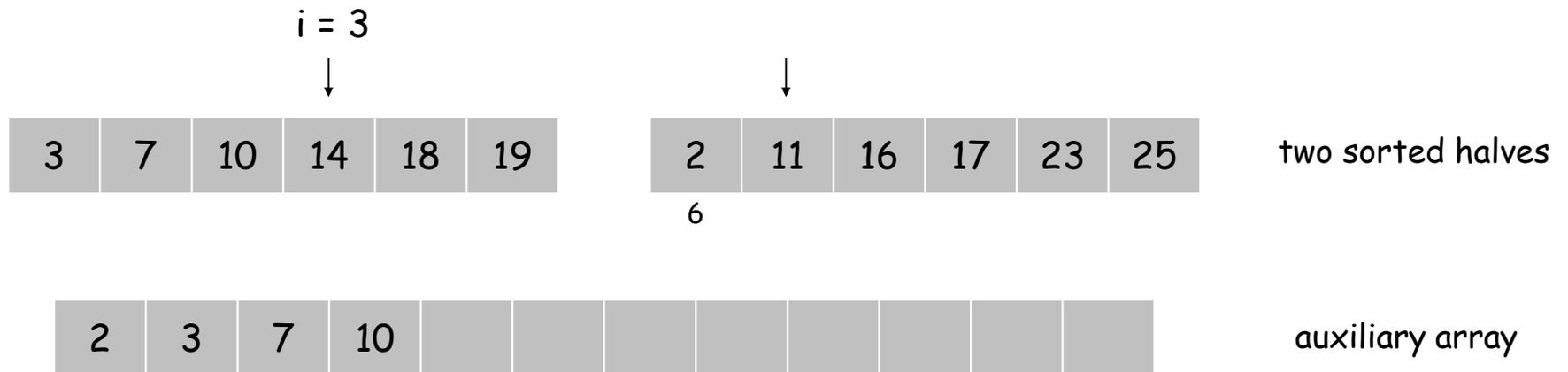


Total: 6

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- Combine two sorted halves into sorted whole.

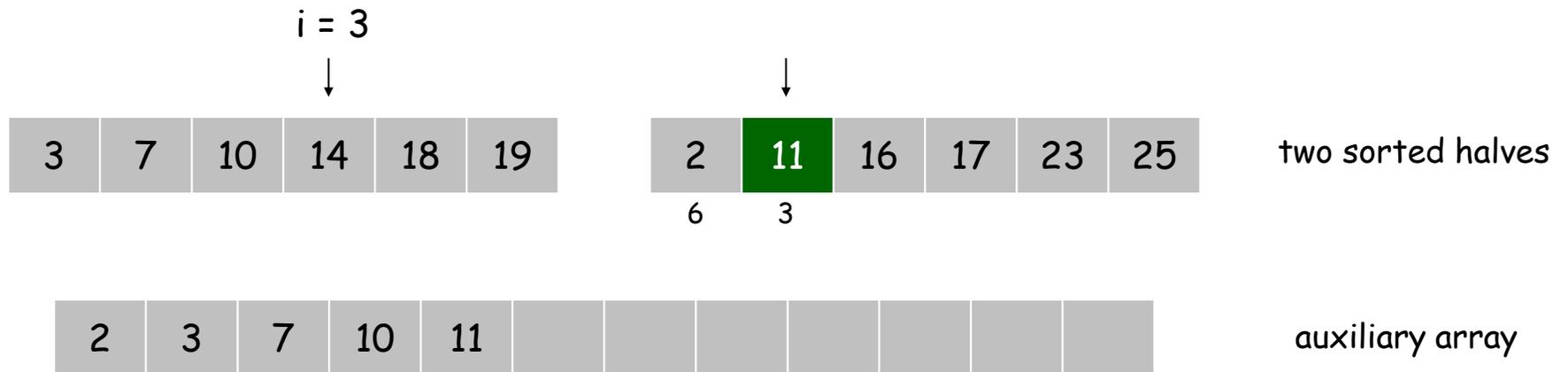


Total: 6

# Merge and Count

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- Combine two sorted halves into sorted whole.

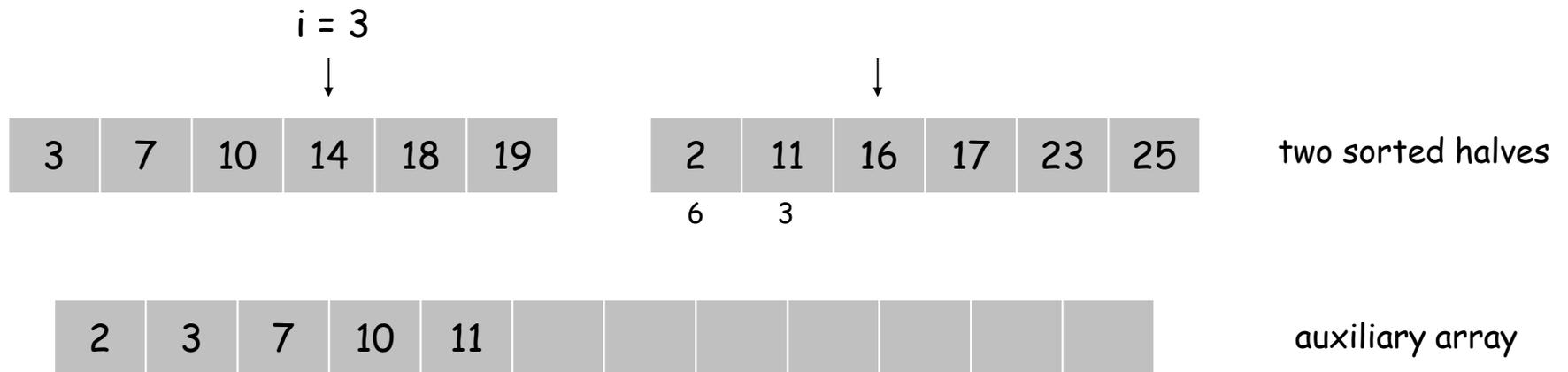


Total: 6 + 3

# Merge and Count

## Merge and count step.

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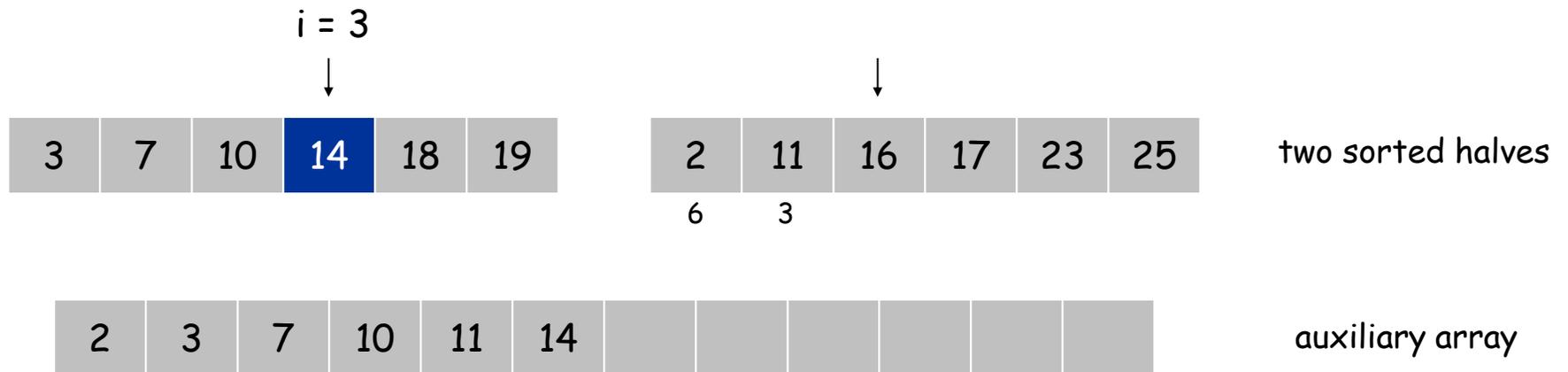


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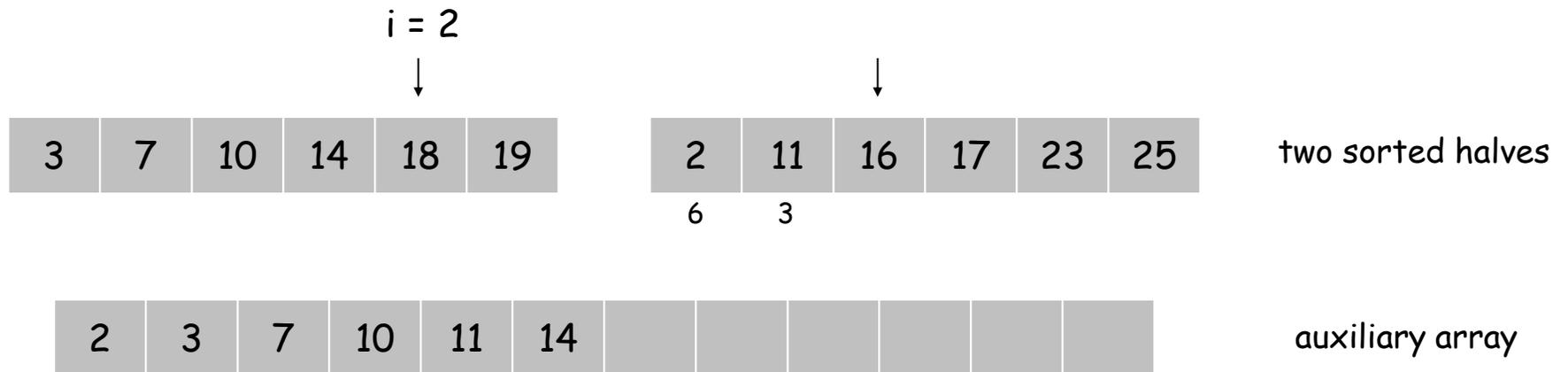


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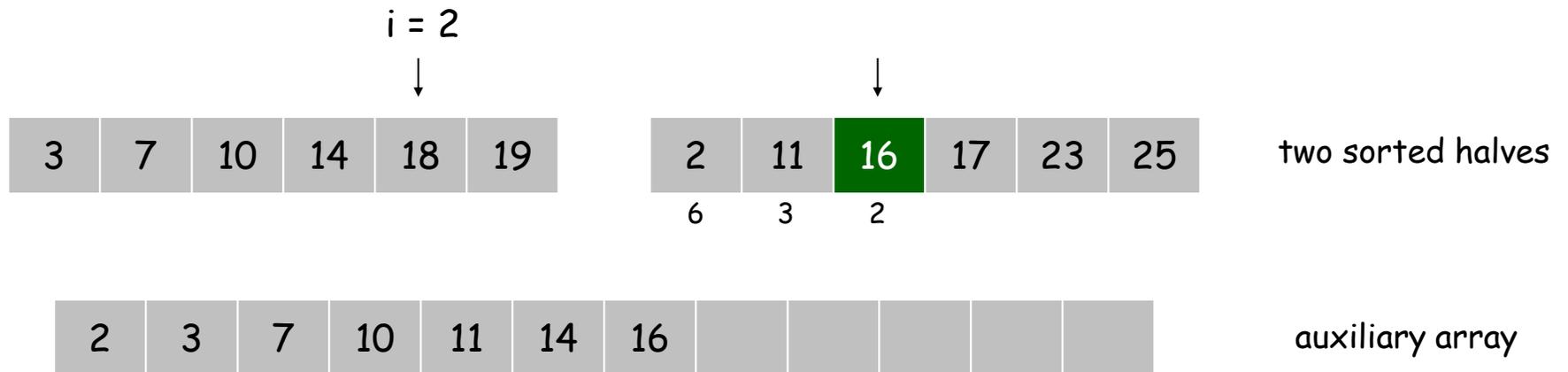


Total: 6 + 3

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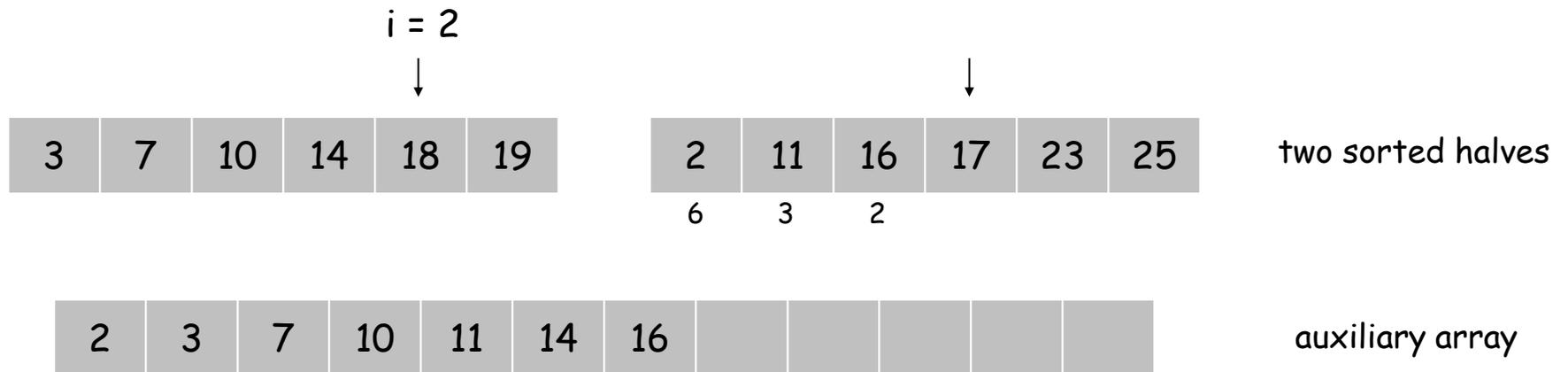


Total:  $6 + 3 + 2$

# Merge and Count

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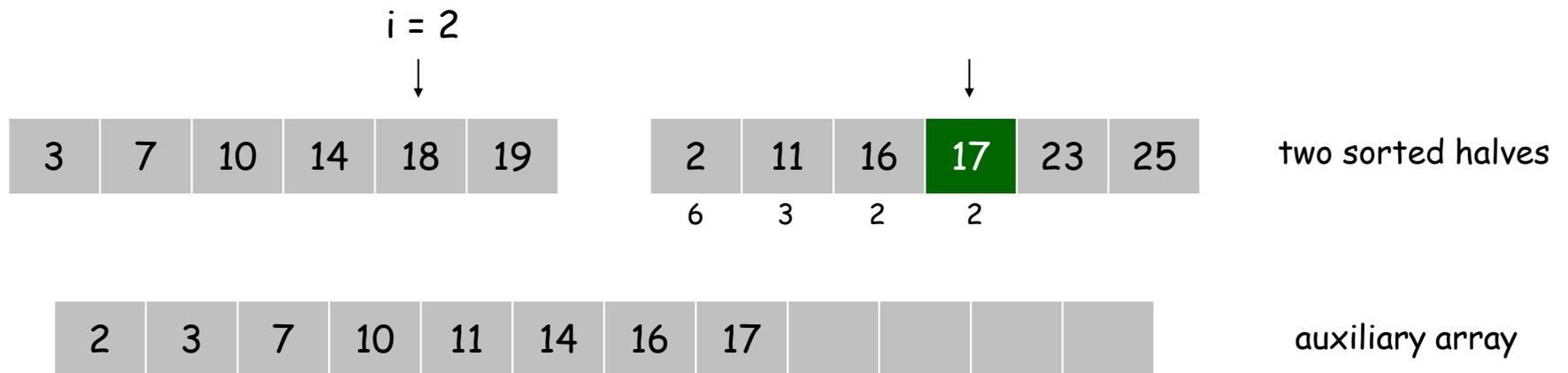


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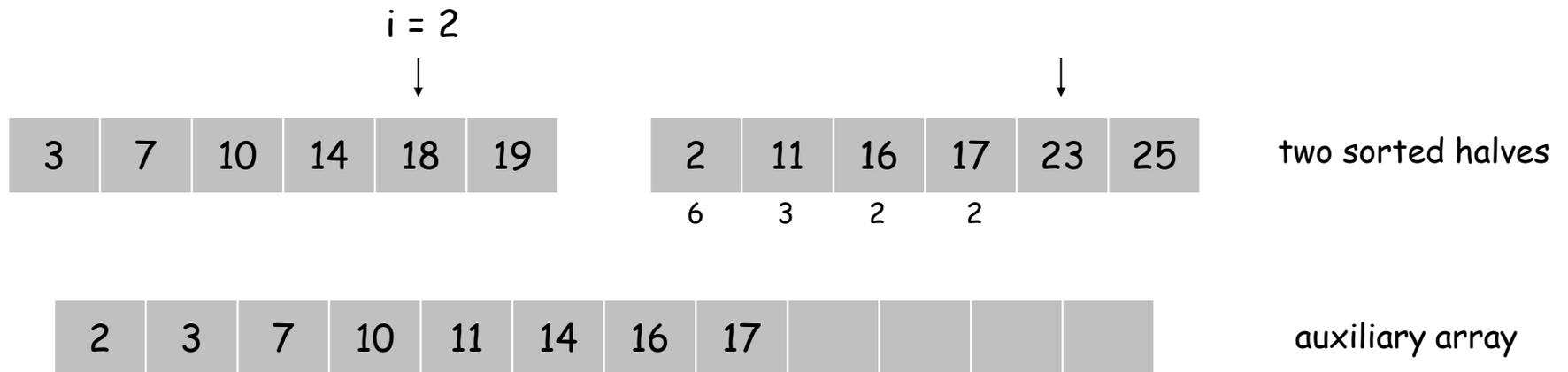


Total:  $6 + 3 + 2 + 2$

# Merge and Count

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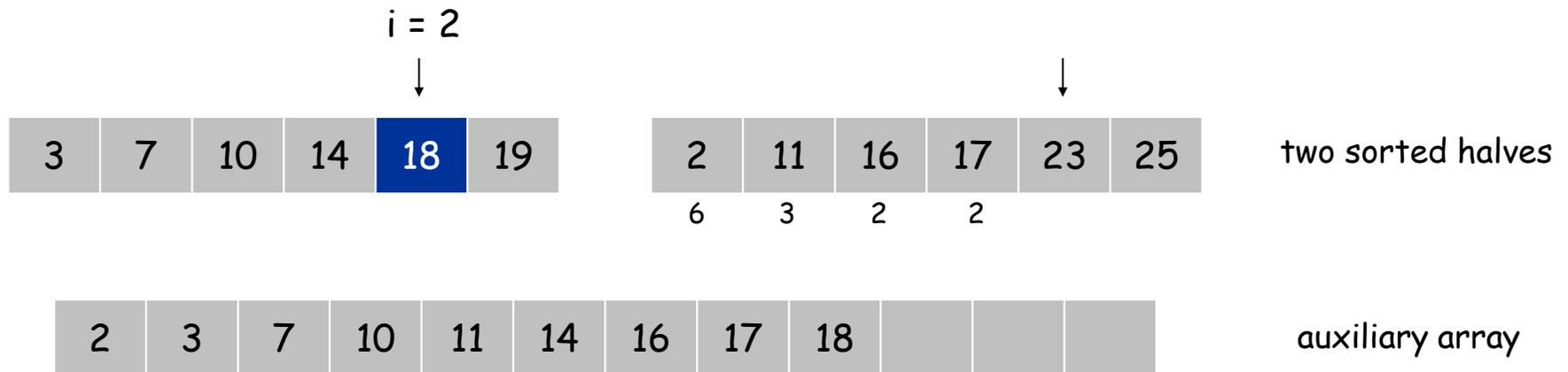


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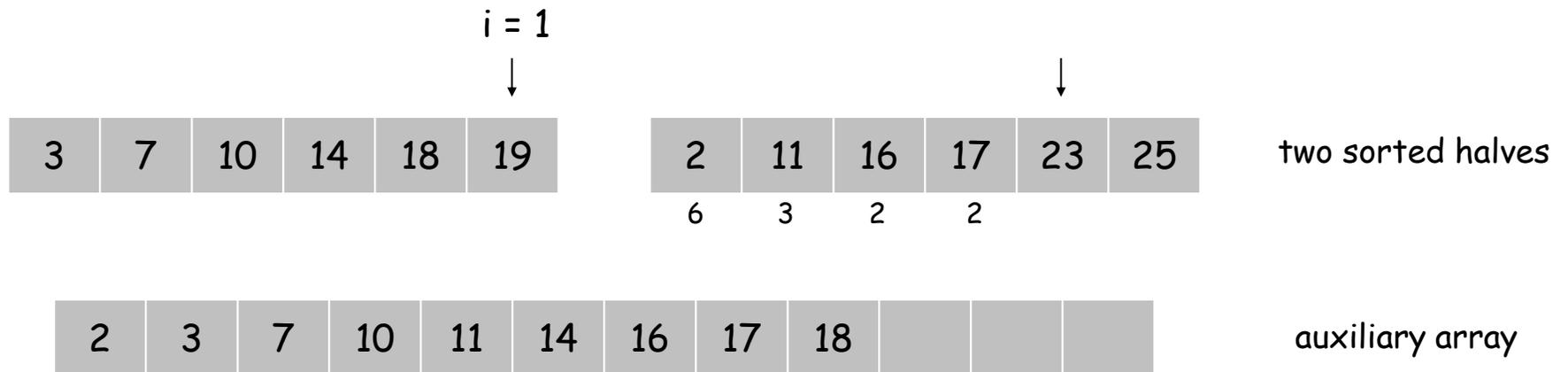


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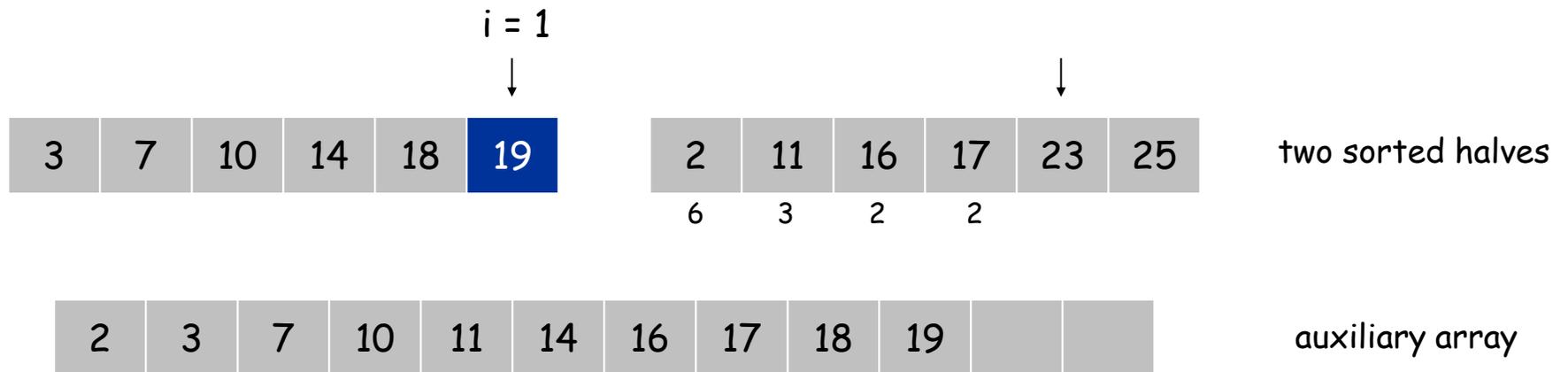


Total:  $6 + 3 + 2 + 2$

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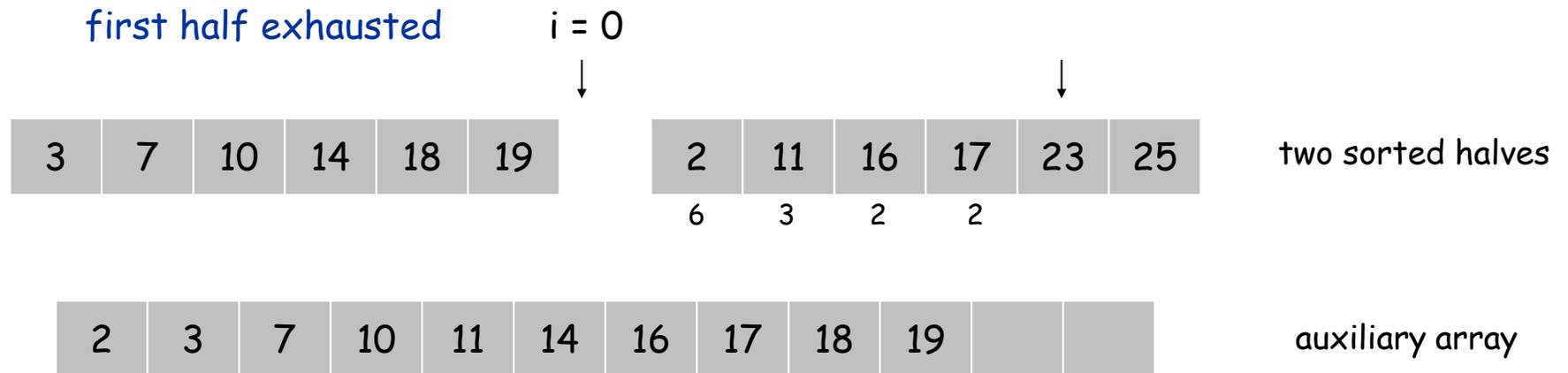


Total:  $6 + 3 + 2 + 2$

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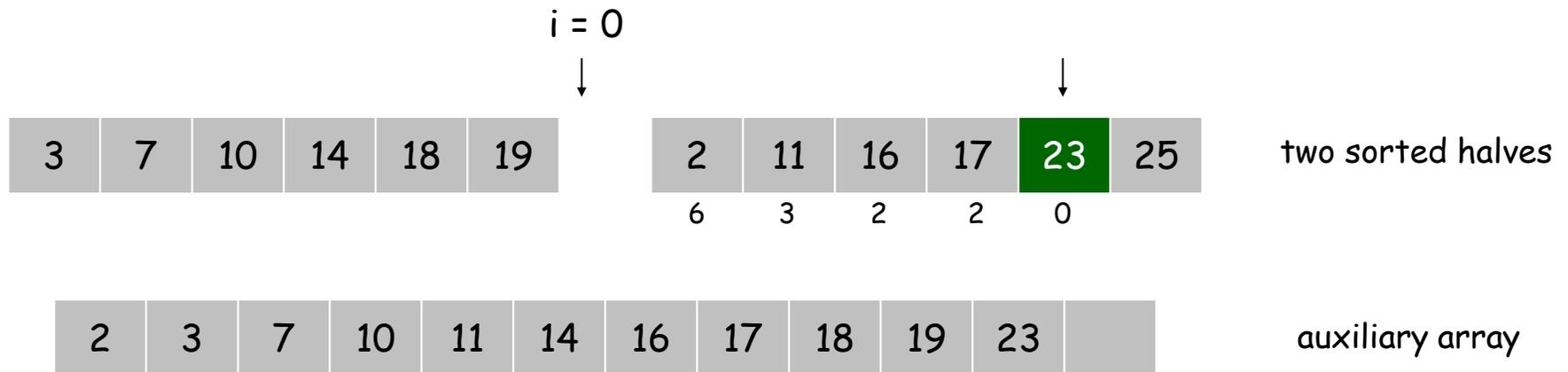


Total:  $6 + 3 + 2 + 2$

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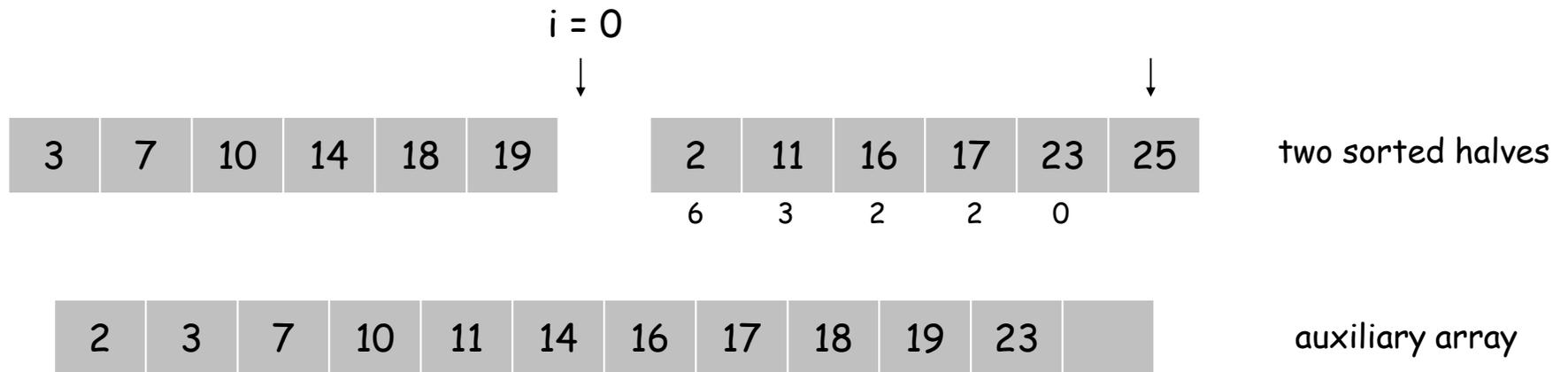


Total:  $6 + 3 + 2 + 2 + 0$

# Merge and Count

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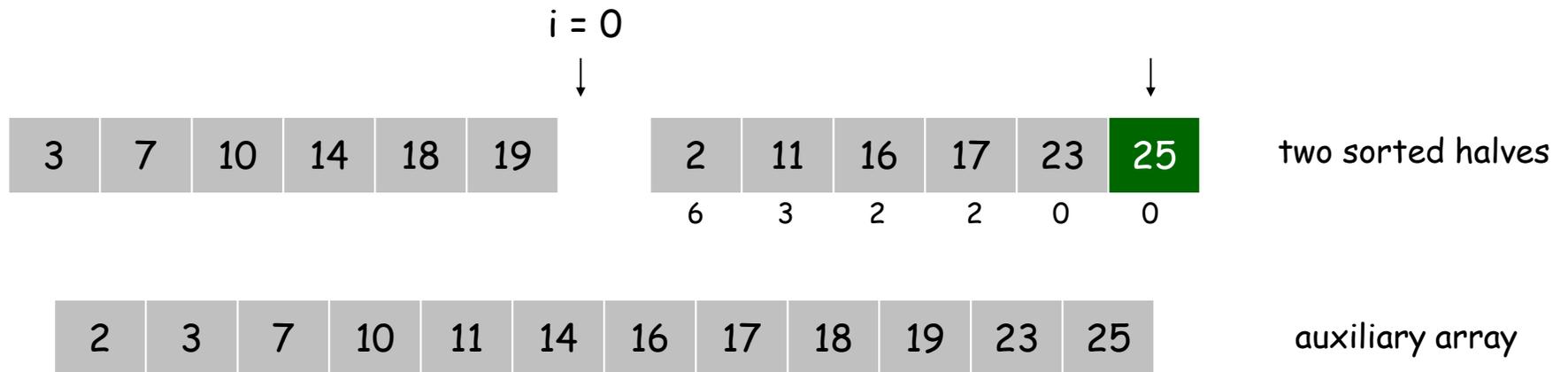


Total:  $6 + 3 + 2 + 2 + 0$

# Merge and Count

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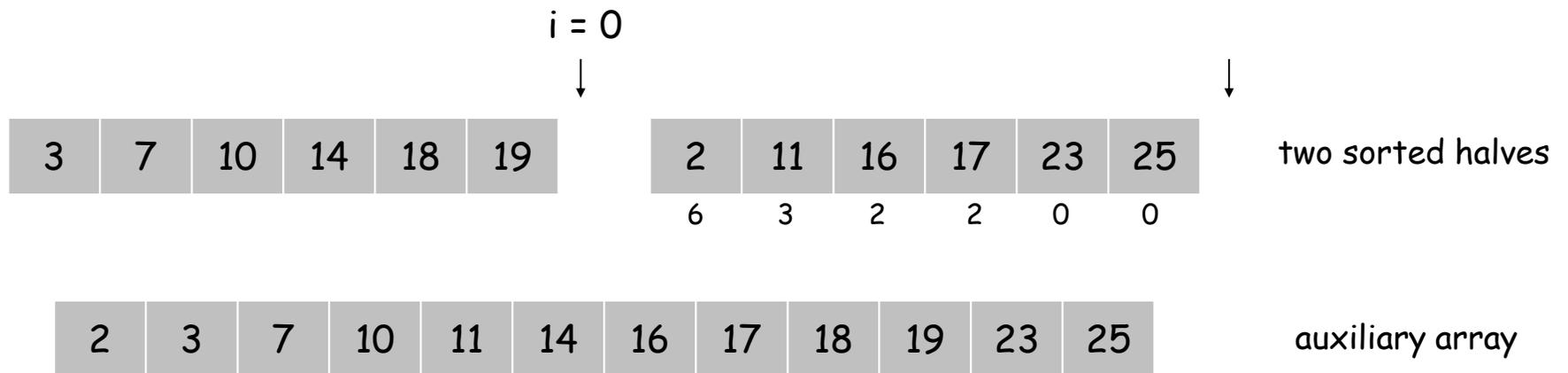


Total:  $6 + 3 + 2 + 2 + 0 + 0$

# Merge and Count

## Merge and count step.

- Given two sorted halves, count number of inversions where  $a_i$  and  $a_j$  are in different halves.
- Combine two sorted halves into sorted whole.



Total:  $6 + 3 + 2 + 2 + 0 + 0 = 13$

# Counting Inversions: Implementation

- Pre-condition of **[Merge-and-Count]**: A and B are sorted.
- Post-condition of **[Sort-and-Count]**: L is sorted.

```
Sort-and-Count(L) {  
    if list L has one element  
        return 0 and the list L  
  
    Divide the list into two halves A and B  
    ( $r_A$ , A)  $\leftarrow$  Sort-and-Count(A)  
    ( $r_B$ , B)  $\leftarrow$  Sort-and-Count(B)  
    ( $r$ , L)  $\leftarrow$  Merge-and-Count(A, B)  
  
    return  $r = r_A + r_B + r$  and the sorted list L  
}
```

# D&C Performance Analysis

The running time of a Divide & Conquer algorithm is affected by 3 criteria:

1. The number of sub-instances ( $a$ ) into which a problem is split.
2. The ratio of initial problem size to sub-problem size ( $b$ ).
3. The number of steps required to divide the instance ( $D(n)$ ), and to combine sub-solutions ( $C(n)$ ).

# General Recurrence for Divide-and-Conquer

- If a divide and conquer scheme divides a problem of size  $n$  into  $a$  sub-problems of size at most  $n/b$ . Suppose the time for Divide is  $D(n)$  and time for Combination is  $C(n)$ , then

$$T(n) = \begin{cases} \Theta(1) & \text{if } n < c \\ aT(n/b) + D(n) + C(n) & \text{if } n \geq c \end{cases}$$

- How do we bound  $T(n)$ ?

# The Master Theorem

- Let

$$T(n) = \begin{cases} \Theta(1) & \text{if } n < c \\ aT(n/b) + f(n) & \text{if } n \geq c \end{cases}$$

where  $a \geq 1$  and  $b \geq 1$

- we will ignore ceilings and floors (all absorbed in the  $O$  or  $\Theta$  notation)

# The Master Theorem:

A relaxed version for  $f(n) = \Theta(n^k)$

- As special cases, when  $f(n) = \Theta(n^k)$  we get the following:
  - If  $a > b^k$  then  $T(n) = \Theta(n^{\log_b a})$
  - If  $a = b^k$  then  $T(n) = \Theta(n^k \log n)$
  - If  $a < b^k$  then  $T(n) = \Theta(n^k)$

# The Master Theorem

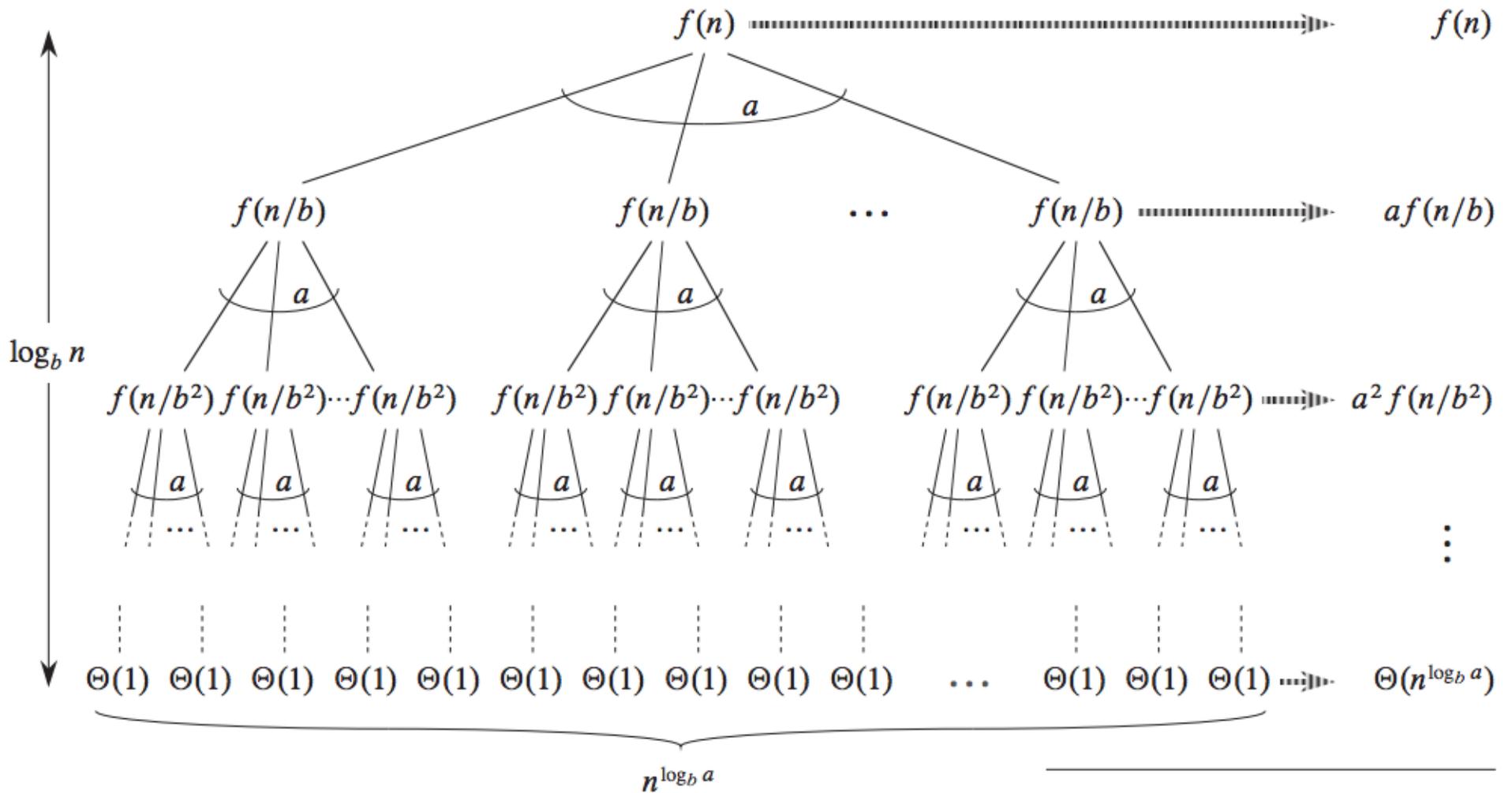
Examples (in class)

1.  $T(n) = T(2n/3) + 1$  then  $T(n) = \Theta(\log n)$

2.  $T(n) = 9T(n/3) + n$ , then  $T(n) = \Theta(n^2)$

More examples: Back to merge sort and binary search:

- MS:  $T(n) = 2T(n/2) + cn$
- BS:  $T(n) = T(n/2) + c$



$$\text{Total: } \Theta(n^{\log_b a}) + \sum_{j=0}^{\log_b n - 1} a^j f(n/b^j)$$

Figure 4.7 in CLRS

## Counting.....

- num. of nodes at depth  $i$  is  $a^i$
- depth of tree is  $\log_b n$
- num. of leaves:  $a^{\log_b n} = 2^{\log a \frac{\log n}{\log b}} = n^{\log_b a}$
- so  $T(n) = \theta(n^{\log_b a}) + \sum_j a^j f(n/b^j)$

# The Master Theorem (general f)

Intuition: Compare  $f(n)$  to  $n^{\log_b a}$

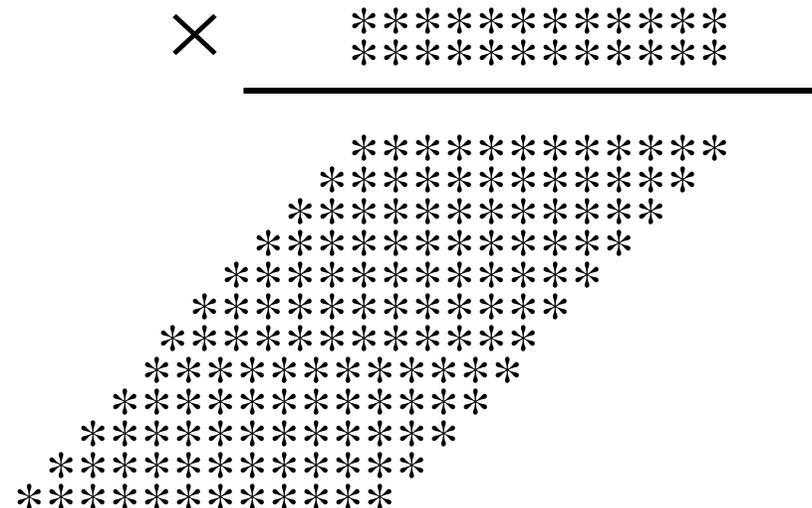
- If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$  then  $T(n) = \Theta(n^{\log_b a})$
- If  $f(n) = \Theta(n^{\log_b a})$  then  $T(n) = \Theta(n^{\log_b a} \log n)$
- If  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$  and if  $a \cdot f(n/b) \leq c \cdot f(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

# Example 4: Integer Multiplication

- Used extensively in Cryptography:
  - public-key encryption/decryption uses multiplication of huge numbers

- Standard multiplication on two  $n$ -bit numbers takes  $\Theta(n^2)$  operations.

- Note: standard addition takes  $O(n)$



# Can We do Better?

- Divide and Conquer (assume  $X, Y$  given in binary)

$$\begin{array}{l} X = \begin{array}{|c|c|} \hline a & b \\ \hline \end{array} \\ Y = \begin{array}{|c|c|} \hline c & d \\ \hline \end{array} \end{array}$$

$$X = a2^{n/2} + b, \quad Y = c2^{n/2} + d$$

$$XY = ac2^n + (ad + bc)2^{n/2} + bd$$

- $MULT(X, Y)$ 
  - if  $|X| = |Y| = 1$  then return  $XY$
  - else return

$$MULT(a, c)2^n + (MULT(a, d) + MULT(b, c))2^{n/2} + MULT(b, d)$$

# Complexity

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 4T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

- By the Master Theorem:  $T(n) = \Theta(n^2)$
- Not an improvement over standard multiplication.

# Can we do better? (Karatsuba 1962)

- Gauss Equation

$$ad + bc = (a + b)(c + d) - ac - bd$$

- MULT(X,Y)

- if  $|X| = |Y| = 1$  then return XY

- else

- $A_1 = \text{MULT}(a,c);$

- $A_2 = \text{MULT}(b,d);$

- $A_3 = \text{MULT}((a+b),(c+d));$

- Return  $A_1 2^n + (A_3 - A_1 - A_2) 2^{n/2} + A_2$

Recall:

$$XY = ac2^n + (ad + bc)2^{n/2} + bd$$

# Complexity

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 3T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

- By the Master Theorem:

$$T(n) = \Theta(n^{\log_2 3}) = \Theta(n^{1.58})$$

# Example 5: Matrix Multiplication

- **Dot product:** Given two length  $n$  vectors  $a$  and  $b$ , compute

$$c = a \cdot b.$$

$$a \cdot b = \sum_{i=1}^n a_i b_i$$

- **Grade-school:**  $\Theta(n)$  arithmetic operations.

$$a = [.70 \quad .20 \quad .10]$$

$$b = [.30 \quad .40 \quad .30]$$

$$a \cdot b = (.70 \times .30) + (.20 \times .40) + (.10 \times .30) = .32$$

- **Remark:** Grade-school dot product algorithm is optimal.

# Matrix Multiplication

- Given two  $n$ -by- $n$  matrices  $A$  and  $B$ , compute  $C = AB$ .
- Grade-school:  $\Theta(n^3)$  arithmetic operations.

$$c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}$$

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$\begin{bmatrix} .59 & .32 & .41 \\ .31 & .36 & .25 \\ .45 & .31 & .42 \end{bmatrix} = \begin{bmatrix} .70 & .20 & .10 \\ .30 & .60 & .10 \\ .50 & .10 & .40 \end{bmatrix} \times \begin{bmatrix} .80 & .30 & .50 \\ .10 & .40 & .10 \\ .10 & .30 & .40 \end{bmatrix}$$

- Q. Is grade-school matrix multiplication algorithm optimal?

# Block Matrix Multiplication

$$\begin{array}{c} C_{11} \\ \swarrow \\ \left[ \begin{array}{cccc} 152 & 158 & 164 & 170 \\ 504 & 526 & 548 & 570 \\ 856 & 894 & 932 & 970 \\ 1208 & 1262 & 1316 & 1370 \end{array} \right] \\ \end{array} = \begin{array}{c} A_{11} \quad A_{12} \\ \swarrow \quad \swarrow \\ \left[ \begin{array}{cccc} 0 & 1 & 2 & 3 \\ 4 & 5 & 6 & 7 \\ 8 & 9 & 10 & 11 \\ 12 & 13 & 14 & 15 \end{array} \right] \times \begin{array}{c} B_{11} \\ \swarrow \\ \left[ \begin{array}{cccc} 16 & 17 & 18 & 19 \\ 20 & 21 & 22 & 23 \\ 24 & 25 & 26 & 27 \\ 28 & 29 & 30 & 31 \end{array} \right] \\ \swarrow \\ B_{21} \end{array} \end{array}$$

$$C_{11} = A_{11} \times B_{11} + A_{12} \times B_{21} = \begin{bmatrix} 0 & 1 \\ 4 & 5 \end{bmatrix} \times \begin{bmatrix} 16 & 17 \\ 20 & 21 \end{bmatrix} + \begin{bmatrix} 2 & 3 \\ 6 & 7 \end{bmatrix} \times \begin{bmatrix} 24 & 25 \\ 28 & 29 \end{bmatrix} = \begin{bmatrix} 152 & 158 \\ 504 & 526 \end{bmatrix}$$

# Matrix Multiplication: Warmup

- To multiply two  $n$ -by- $n$  matrices  $A$  and  $B$ :
  - **Divide**: partition  $A$  and  $B$  into  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  blocks.
  - **Conquer**: multiply 8 pairs of  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  matrices, recursively.
  - **Combine**: add appropriate products using 4 matrix additions.

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$\begin{aligned} C_{11} &= (A_{11} \times B_{11}) + (A_{12} \times B_{21}) \\ C_{12} &= (A_{11} \times B_{12}) + (A_{12} \times B_{22}) \\ C_{21} &= (A_{21} \times B_{11}) + (A_{22} \times B_{21}) \\ C_{22} &= (A_{21} \times B_{12}) + (A_{22} \times B_{22}) \end{aligned}$$

$$T(n) = \underbrace{8T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n^2)}_{\text{add, form submatrices}} \Rightarrow T(n) = \Theta(n^3)$$

# Fast Matrix Multiplication

- Key idea. multiply 2-by-2 blocks with only 7 multiplications.

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C_{11} = P_5 + P_4 - P_2 + P_6$$

$$C_{12} = P_1 + P_2$$

$$C_{21} = P_3 + P_4$$

$$C_{22} = P_5 + P_1 - P_3 - P_7$$

$$P_1 = A_{11} \times (B_{12} - B_{22})$$

$$P_2 = (A_{11} + A_{12}) \times B_{22}$$

$$P_3 = (A_{21} + A_{22}) \times B_{11}$$

$$P_4 = A_{22} \times (B_{21} - B_{11})$$

$$P_5 = (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$P_6 = (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

$$P_7 = (A_{11} - A_{21}) \times (B_{11} + B_{12})$$

- 7 multiplications, 14 2-by-2 elements.
- 18 = 8 + 10 additions and subtractions.

# Fast Matrix Multiplication

- To multiply two  $n$ -by- $n$  matrices  $A$  and  $B$ : [Strassen 1969]
  - **Divide**: partition  $A$  and  $B$  into  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  blocks.
  - **Compute**: 14  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  matrices via 10 matrix additions.
  - **Conquer**: multiply 7 pairs of  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  matrices, recursively.
  - **Combine**: 7 products into 4 terms using 8 matrix additions.
- Analysis.
  - Assume  $n$  is a power of 2.
  - $T(n) = \#$  arithmetic operations.

$$T(n) = \underbrace{7T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n^2)}_{\text{add, subtract}} \Rightarrow T(n) = \Theta(n^{\log_2 7}) = O(n^{2.81})$$

# Fast Matrix Multiplication: Practice

- Implementation issues.
  - Sparsity.
  - Caching effects.
  - Numerical stability.
  - Odd matrix dimensions.
  - Crossover to classical algorithm around  $n = 128$ .
- Common misperception. “*Strassen is only a theoretical curiosity.*”
  - Apple reports 8x speedup on G4 Velocity Engine when  $n \approx 2,500$ .
  - Range of instances where it's useful is a subject of controversy.

# Fast Matrix Multiplication: Theory

Q. Multiply two 2-by-2 matrices with 7 scalar mult?

A. Yes! [Strassen 1969]

$$\Theta(n^{\log_2 7}) = O(n^{2.807})$$

Q. Multiply two 2-by-2 matrices with 6 scalar multiplications?

A. Impossible. [Hopcroft and Kerr 1971]

$$\Theta(n^{\log_2 6}) = O(n^{2.59})$$

Q. Two 3-by-3 matrices with 21 scalar multiplications?

A. Also impossible.

$$\Theta(n^{\log_3 21}) = O(n^{2.77})$$

- Two 20-by-20 matrices with 4,460 scalar mult.

$$O(n^{2.805})$$

- Two 48-by-48 matrices with 47,217 scalar mult.

$$O(n^{2.7801})$$

A year later.

$$O(n^{2.7799})$$

- December, 1979.

$$O(n^{2.521813})$$

- January, 1980.

$$O(n^{2.521801})$$

- Record holder 1987-2010:  $O(n^{2.376})$  [Coppersmith-Winograd, 1987].

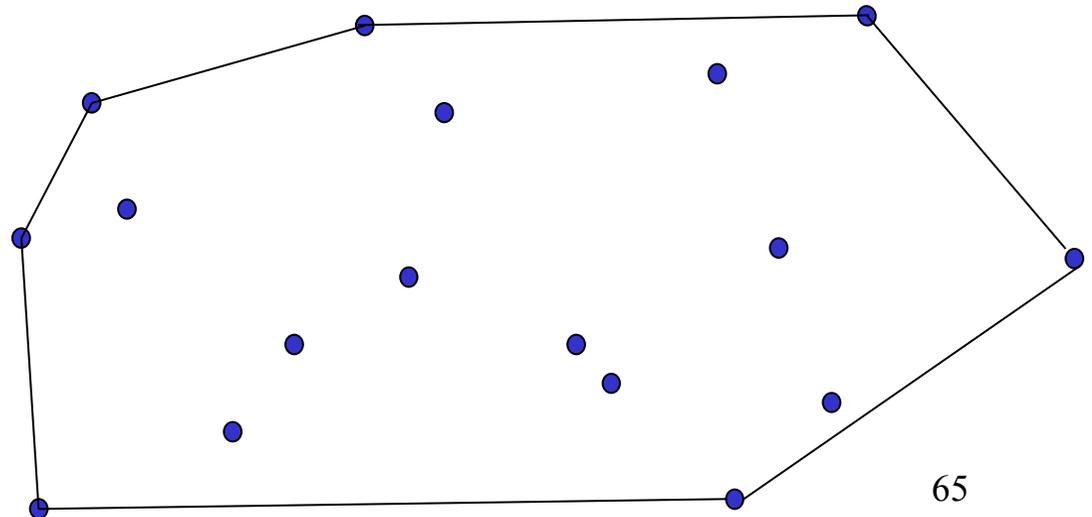
- Best Known:  $O(n^{2.373})$  [Vassilevska Williams, 2011]

- Conjecture:  $O(n^{2+\varepsilon})$  for any  $\varepsilon > 0$ .

## Example 6: Finding the Convex Hull of a set of points (2-dim).

- Given a set  $A$  of  $n$  points in the plane, the convex hull of  $A$  is the smallest convex polygon that contains all the points in  $A$ .
- For simplicity, assume no two points have the same  $x$  or  $y$  coordinate. (otherwise rotate a bit..)
- The output: set of CH vertices in clockwise order.

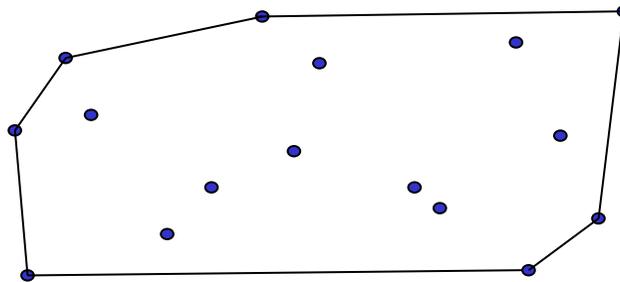
A set  $S$  of points is **convex** if for any two points  $x, y \in S$ , any point on the line connecting  $x$  and  $y$  is also in  $S$ .



# Example 6: Finding the Convex Hull of a set of points.

## Intuition:

- Each point is a nail sticking out from a board.
- Take a rubber band and lower it over the nails, so as to completely encompass the set of nails.
- Let the rubber band naturally contract.
- The rubber band gives the edges of the convex hull of the set of points.
- Nails corresponding to a change in slope of the rubberband represent the extreme points of the convex hull.



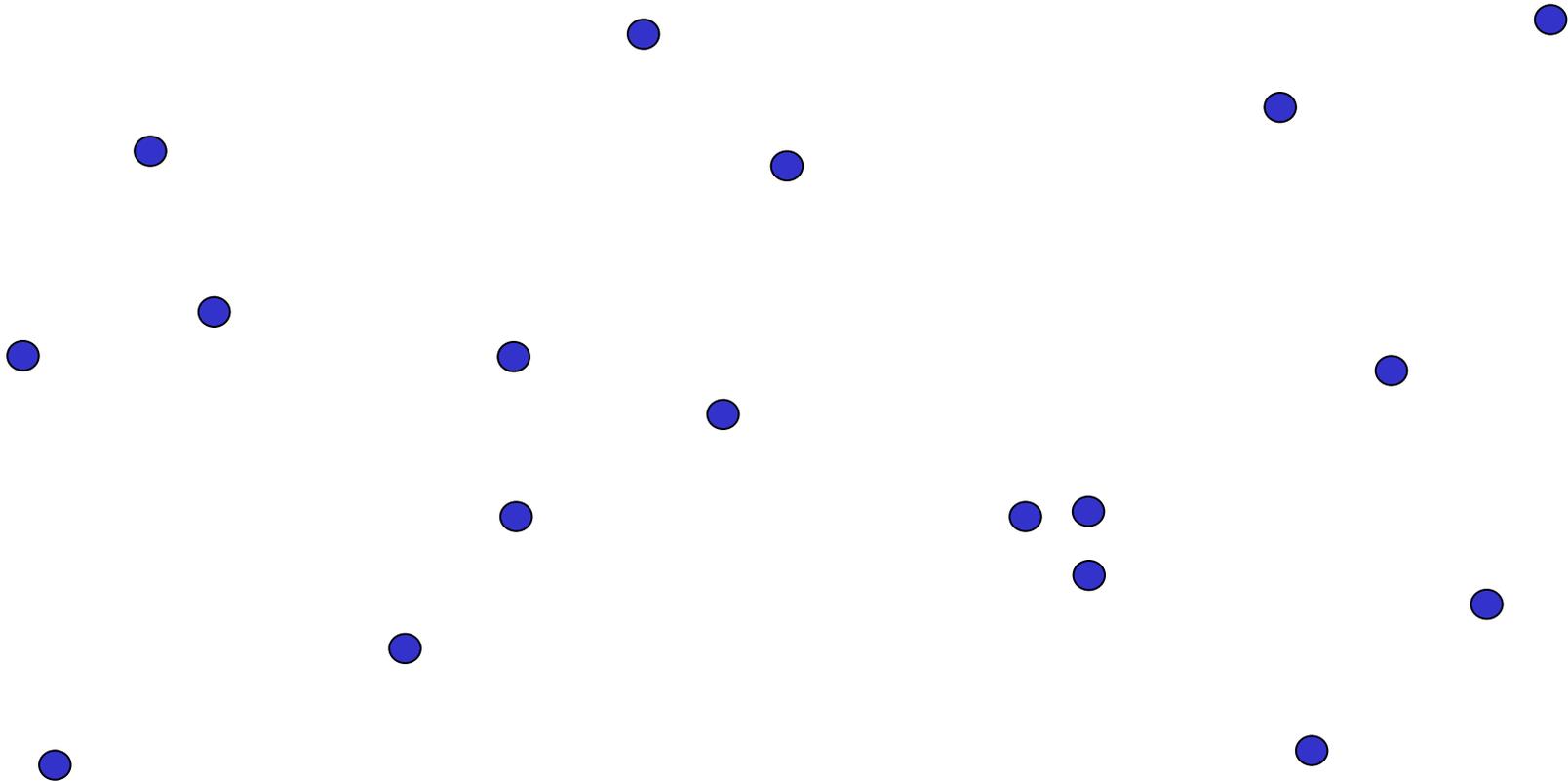
# Convex Hull - D&C algorithm.

Let  $A = \{p_1, p_2, \dots, p_n\}$ . Denote the convex hull of  $A$  by  $CH(A)$ .

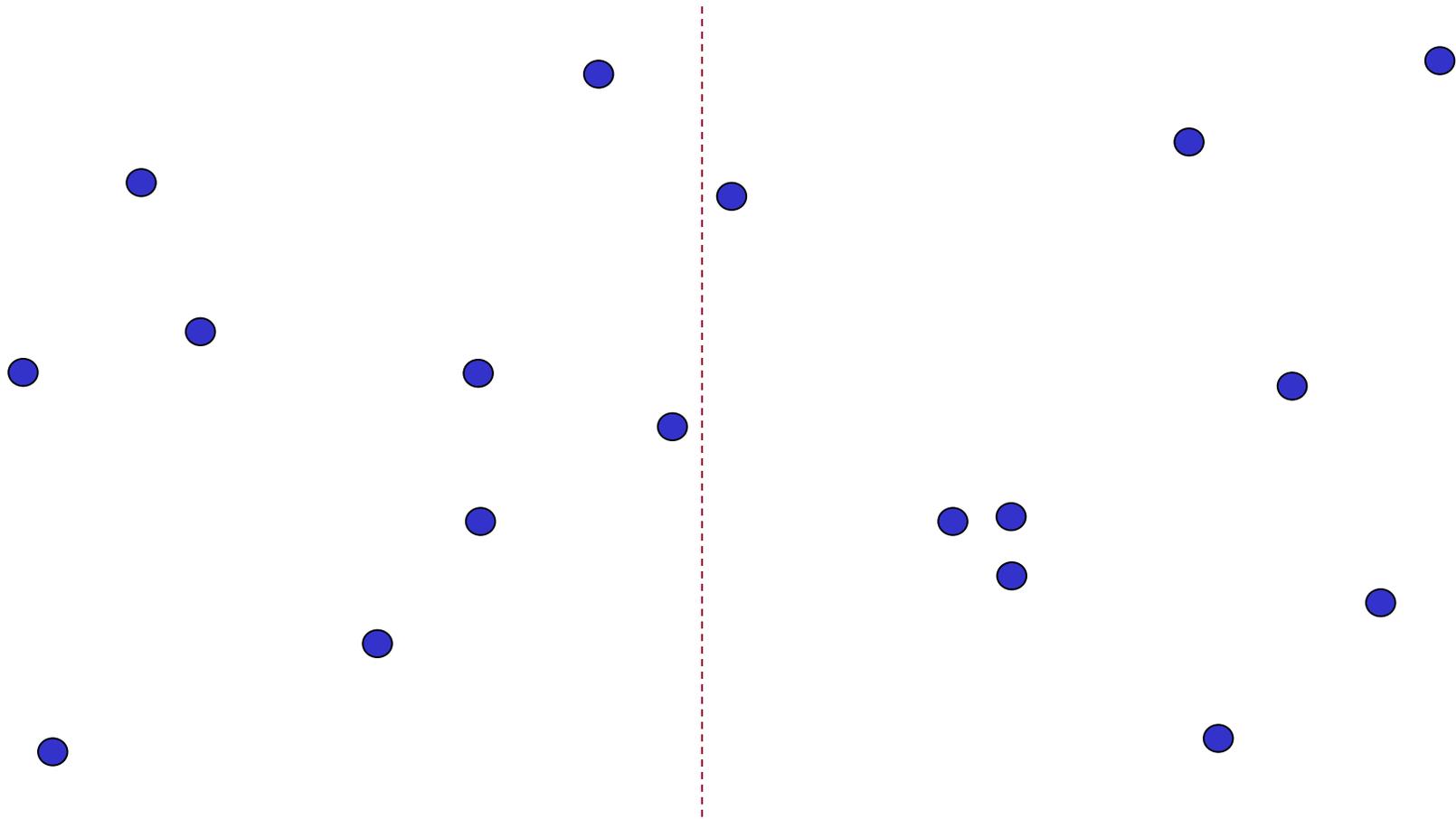
1. Sort the points of  $A$  by  $x$ -coordinate.
2. If  $n \leq 3$ , solve the problem directly. Otherwise, apply divide-and-conquer as follows.
3. Divide  $A$  into two subsets:  $A = L \cup R$ .
4. Find  $CH(L)$ , the convex hull of  $L$ .
5. Find  $CH(R)$ , the convex hull of  $R$ .
6. Combine the two convex hulls.

# Convex Hull - Divide & Conquer

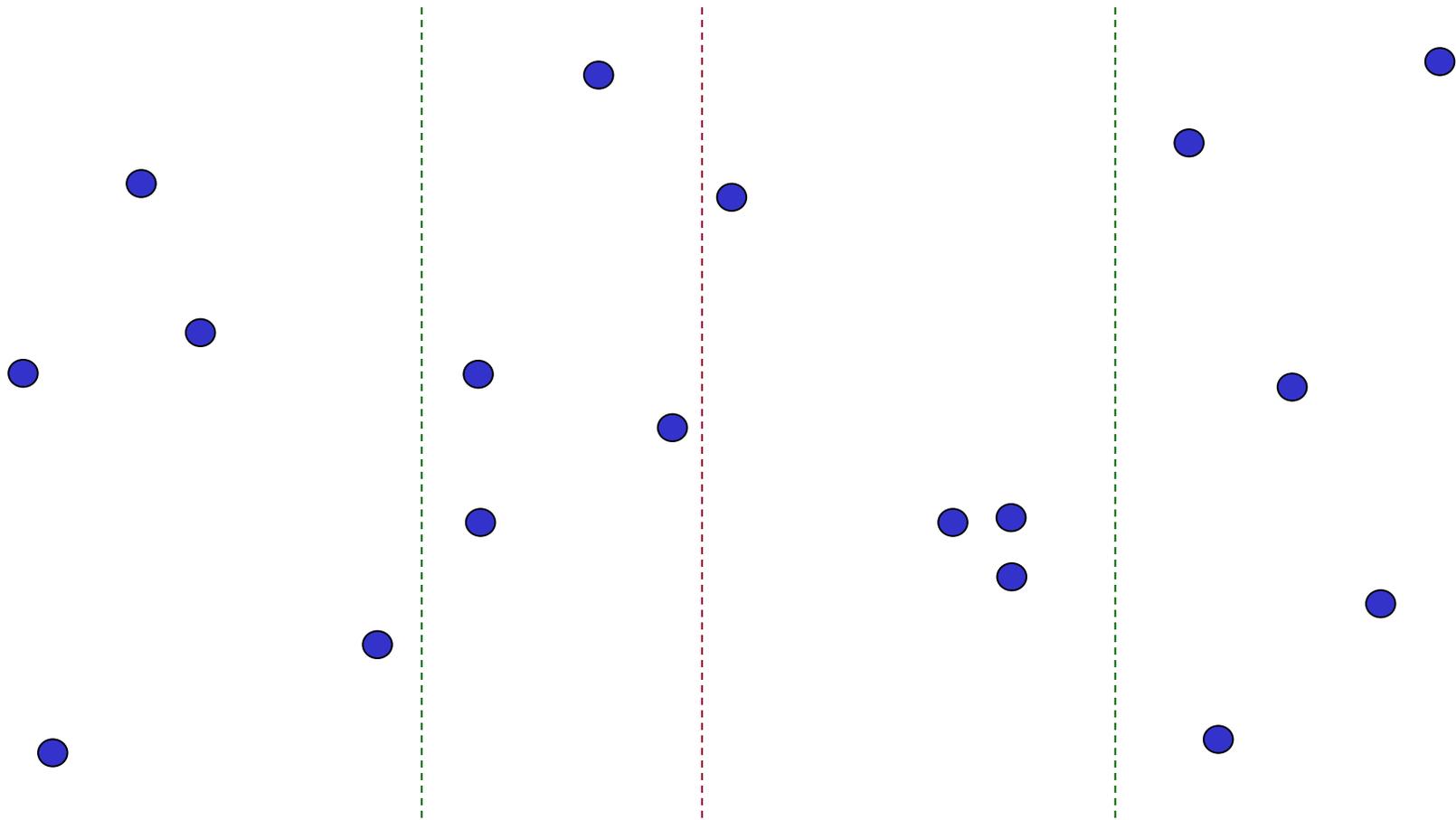
Split set into two, compute convex hull of both, combine.



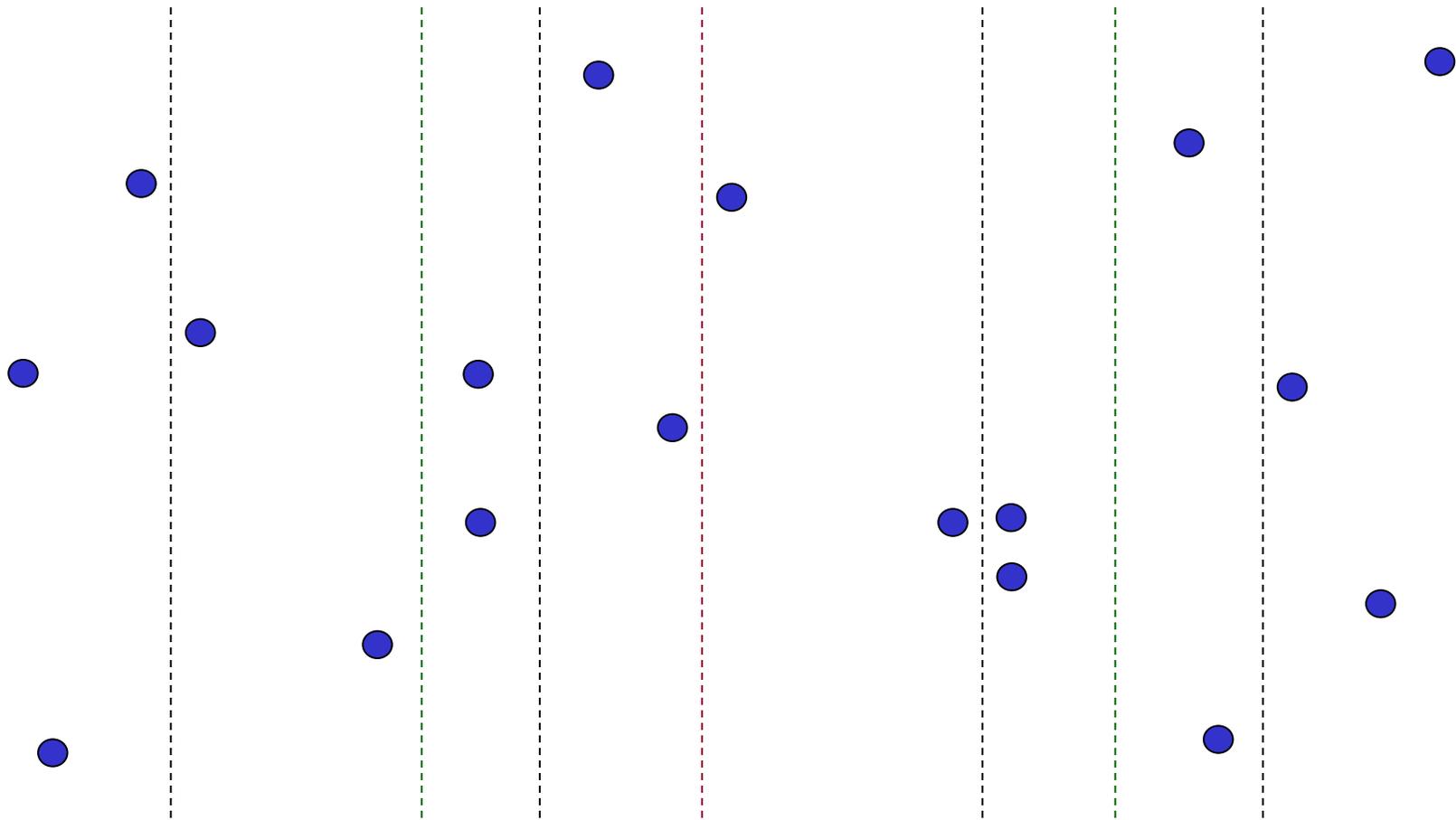
# Convex Hull - Divide & Conquer



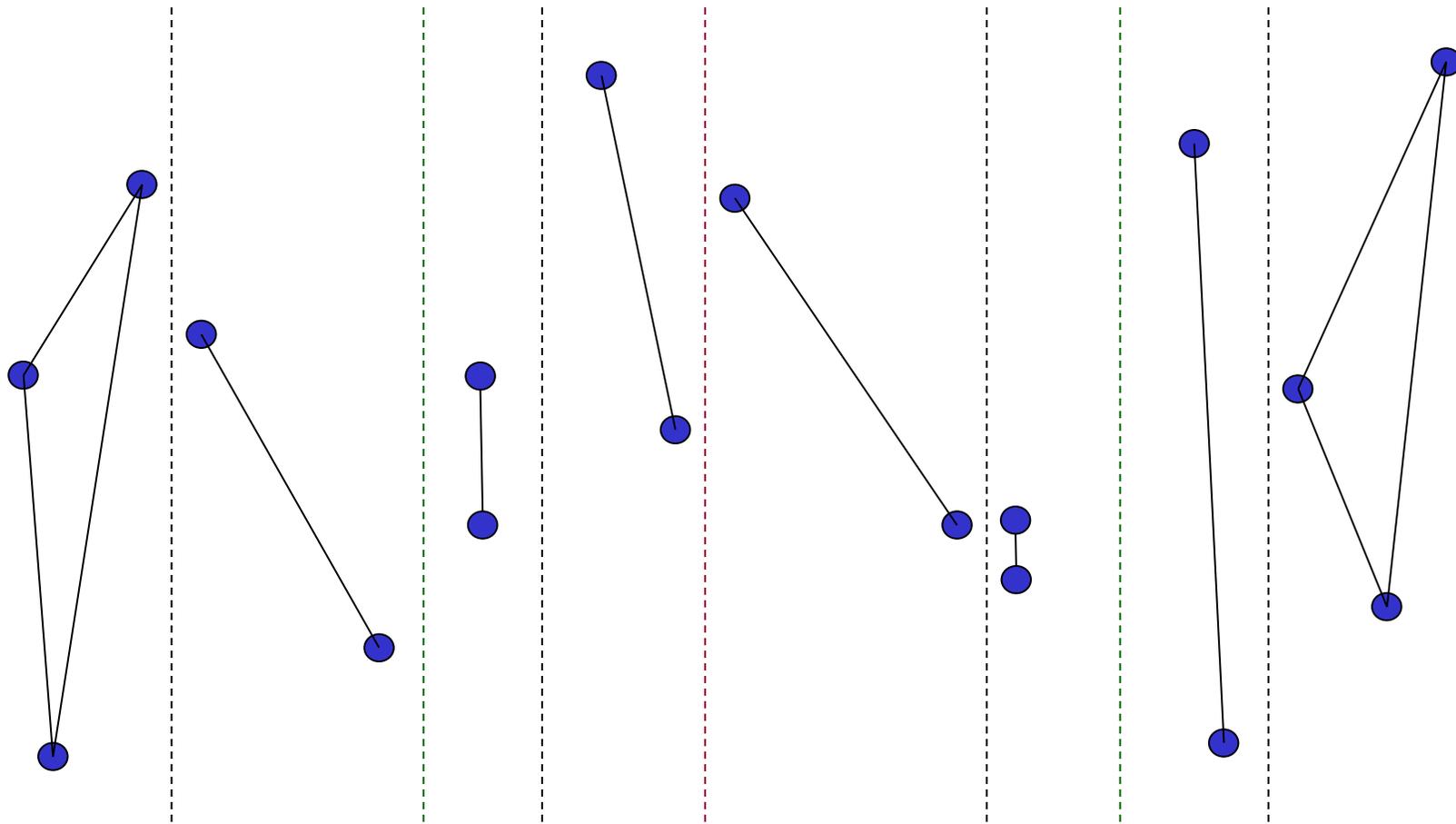
# Convex Hull - Divide & Conquer



# Convex Hull - Divide & Conquer



# Convex Hull - Divide & Conquer



Solution  
order:

1

2

4

5

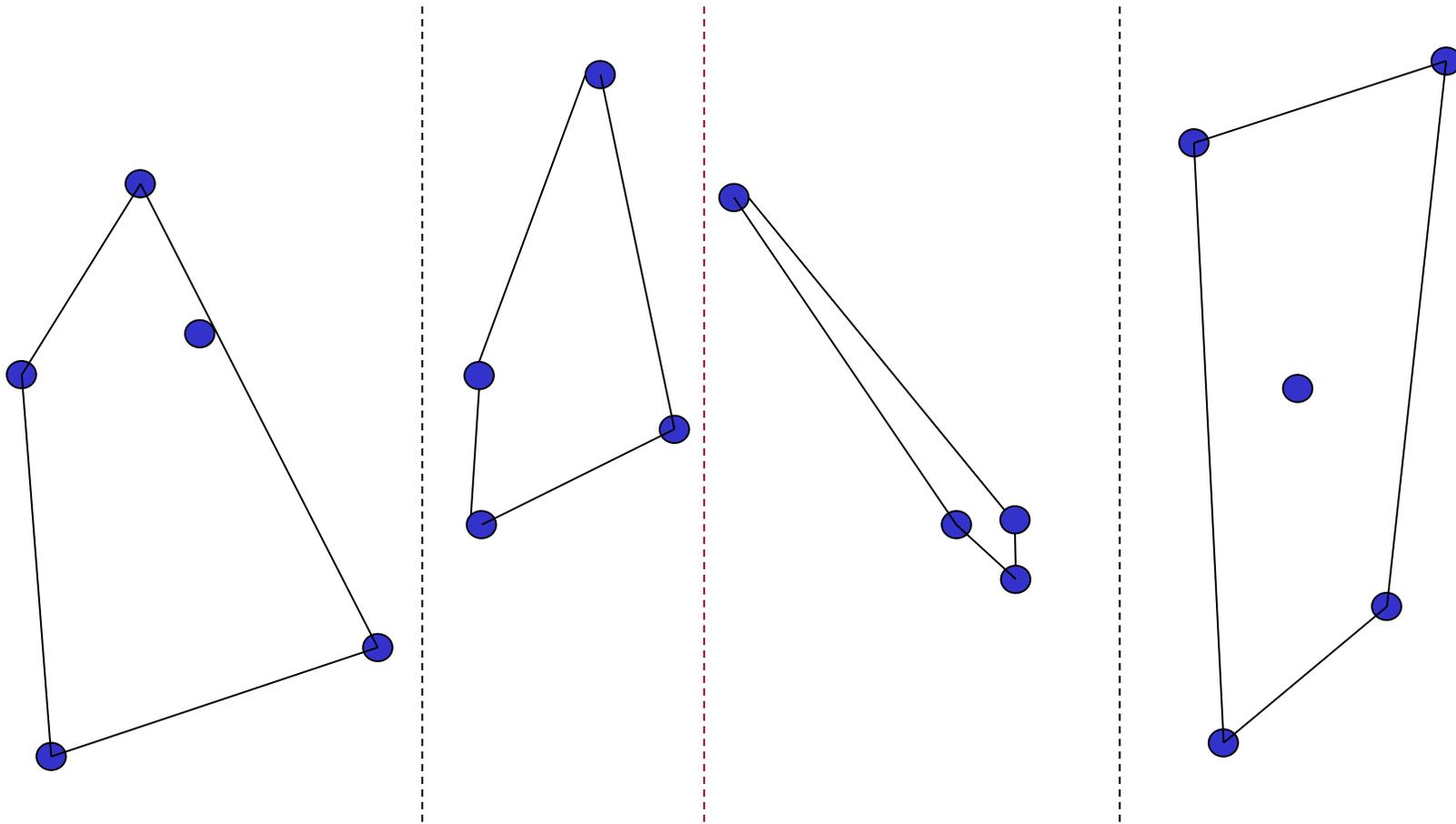
8

9

11

12

# Convex Hull - Divide & Conquer



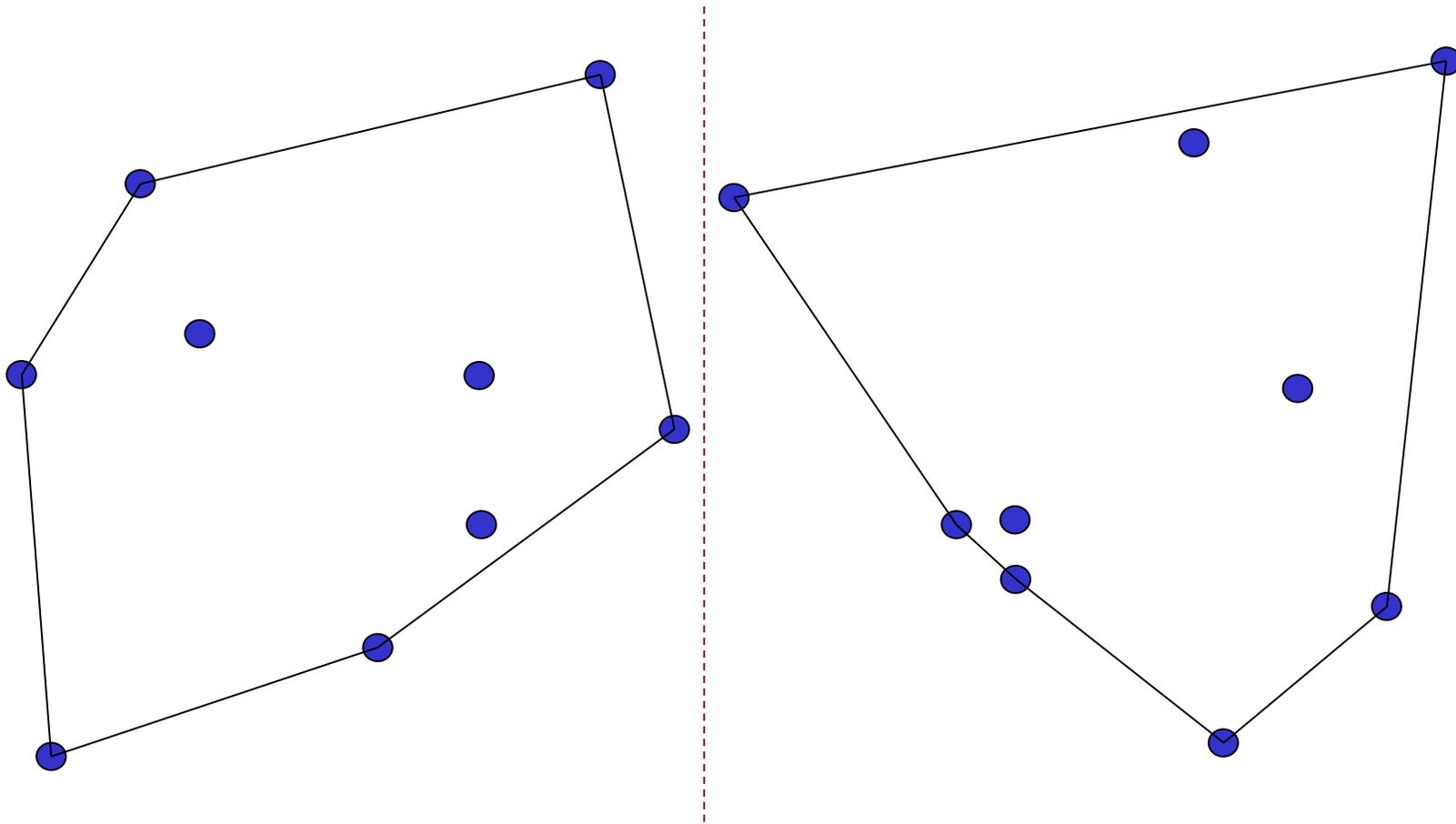
3

6

10

13

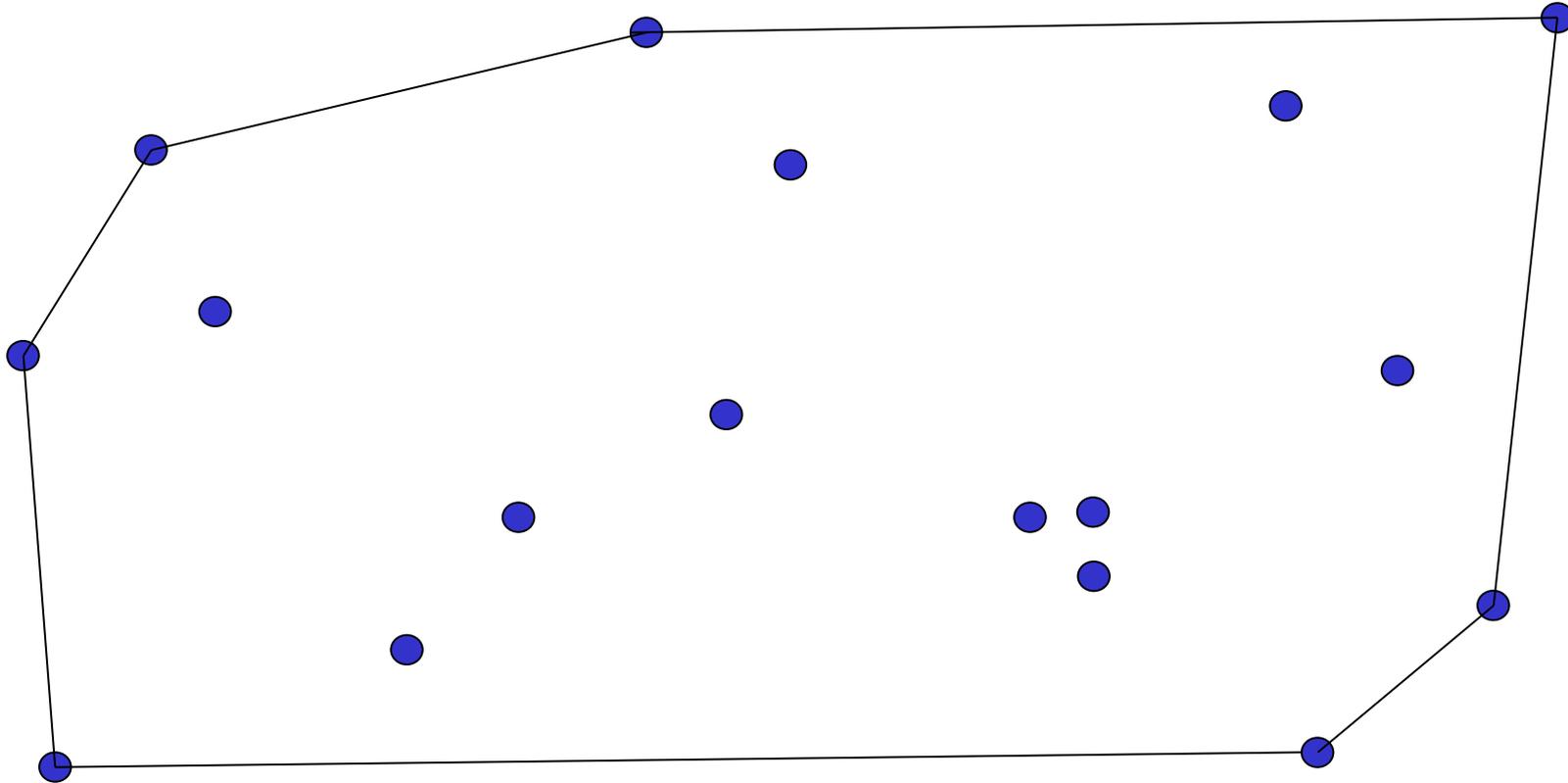
# Convex Hull - Divide & Conquer



7

14

# Convex Hull - Divide & Conquer



# Combine $CH(B)$ and $CH(C)$ to get $CH(A)$

1. We need to find the "upper bridge" and the "lower bridge" that connect the two convex hulls.
2. The lower bridge is the edge  $vw$ , where  $v \in CH(L)$  and  $w \in CH(R)$ , such that all other vertices in  $CH(L)$  and in  $CH(R)$  are above  $vw$ .
3. Suffices to check if both neighbors of  $v$  in  $CH(L)$  and both neighbors of  $w$  in  $CH(R)$  are all above  $vw$ .

# Combine $CH(B)$ and $CH(C)$ to get $CH(A)$

4. Find the lower bridge as follows:

(a)  $v$  = the rightmost point in  $CH(B)$ ;

$w$  = the leftmost point in  $CH(C)$ .

(b) Loop

if counterclockwise neighbor( $w$ ) lies below the line  $vw$   
then  $w$  = counterclockwise neighbor( $w$ )

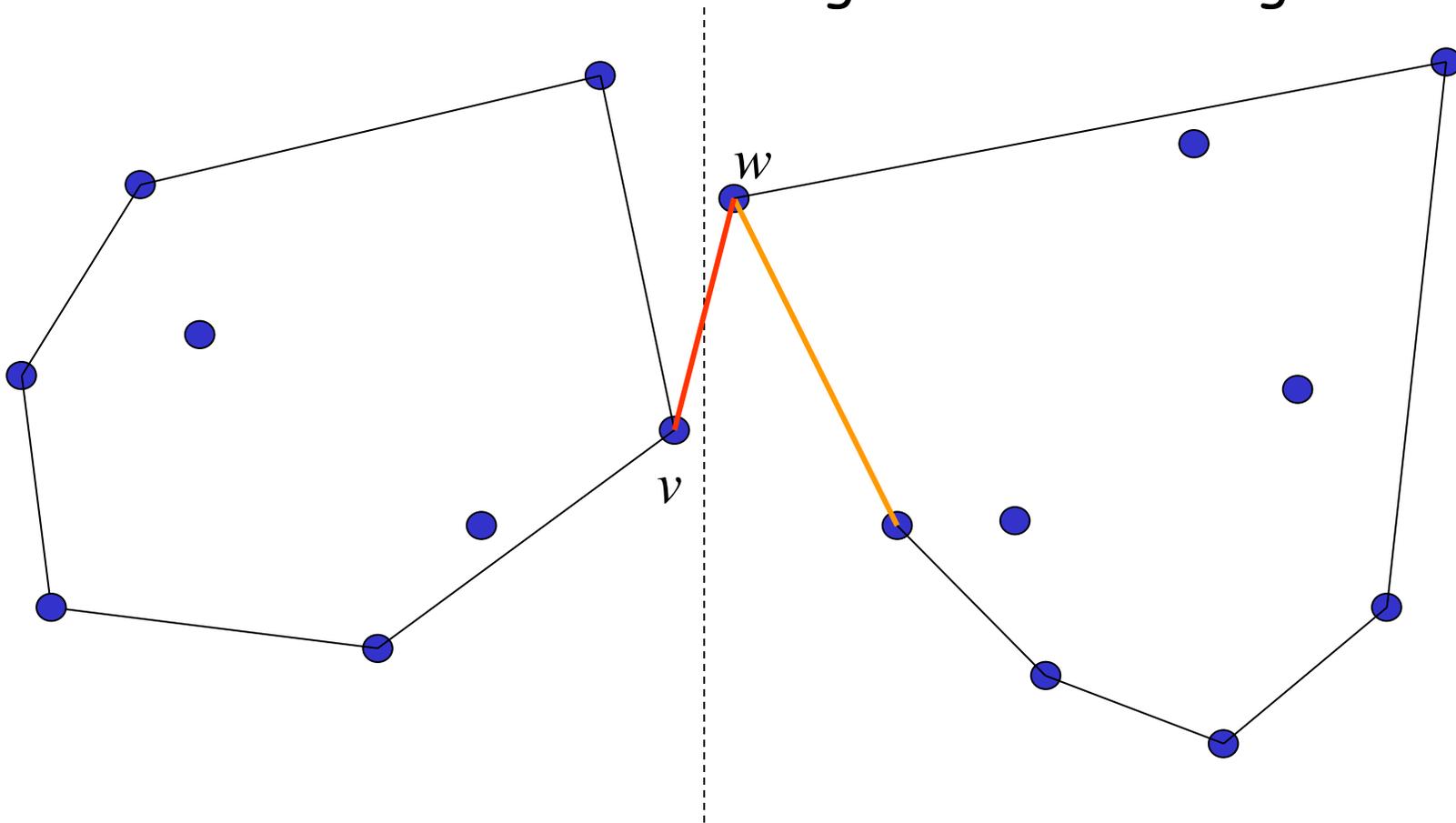
else if clockwise neighbor( $v$ ) lies below the line  $vw$   
then  $v$  = clockwise neighbor( $v$ )

(c)  $vw$  is the upper bridge.

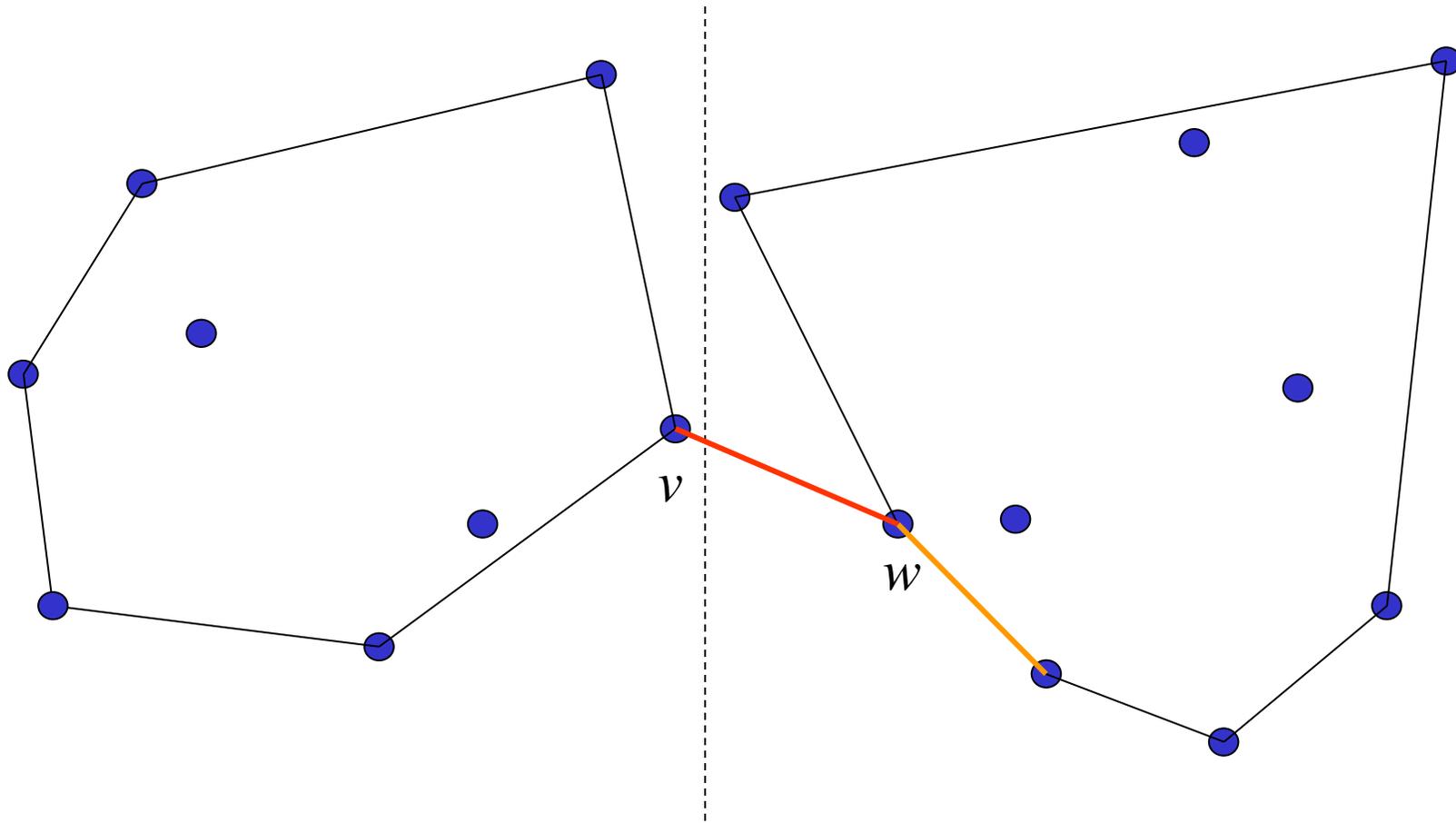
5. Find the upper bridge similarly.

# Convex Hull - Divide & Conquer

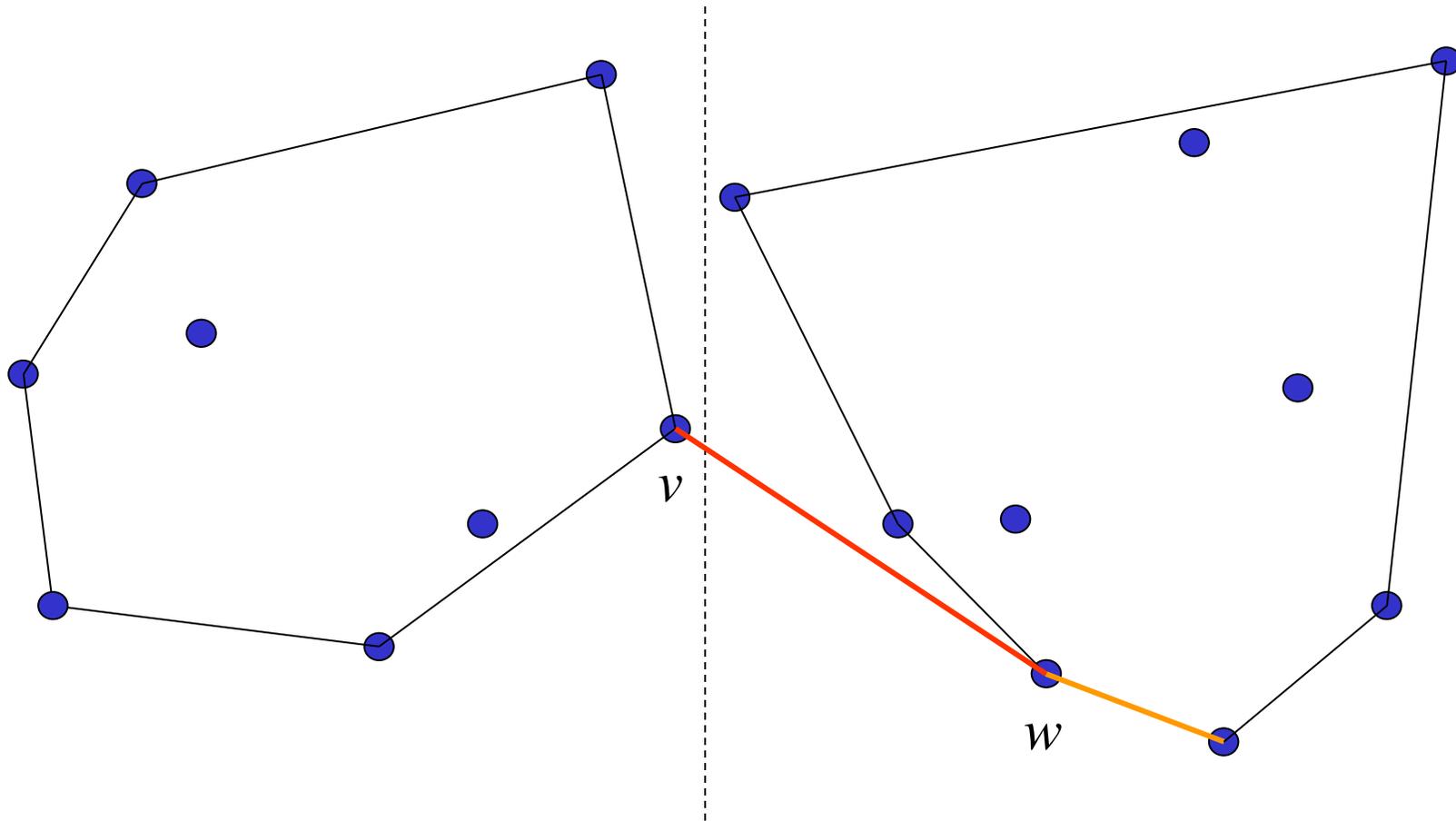
Combine two convex hulls: Finding the **lower** bridge.



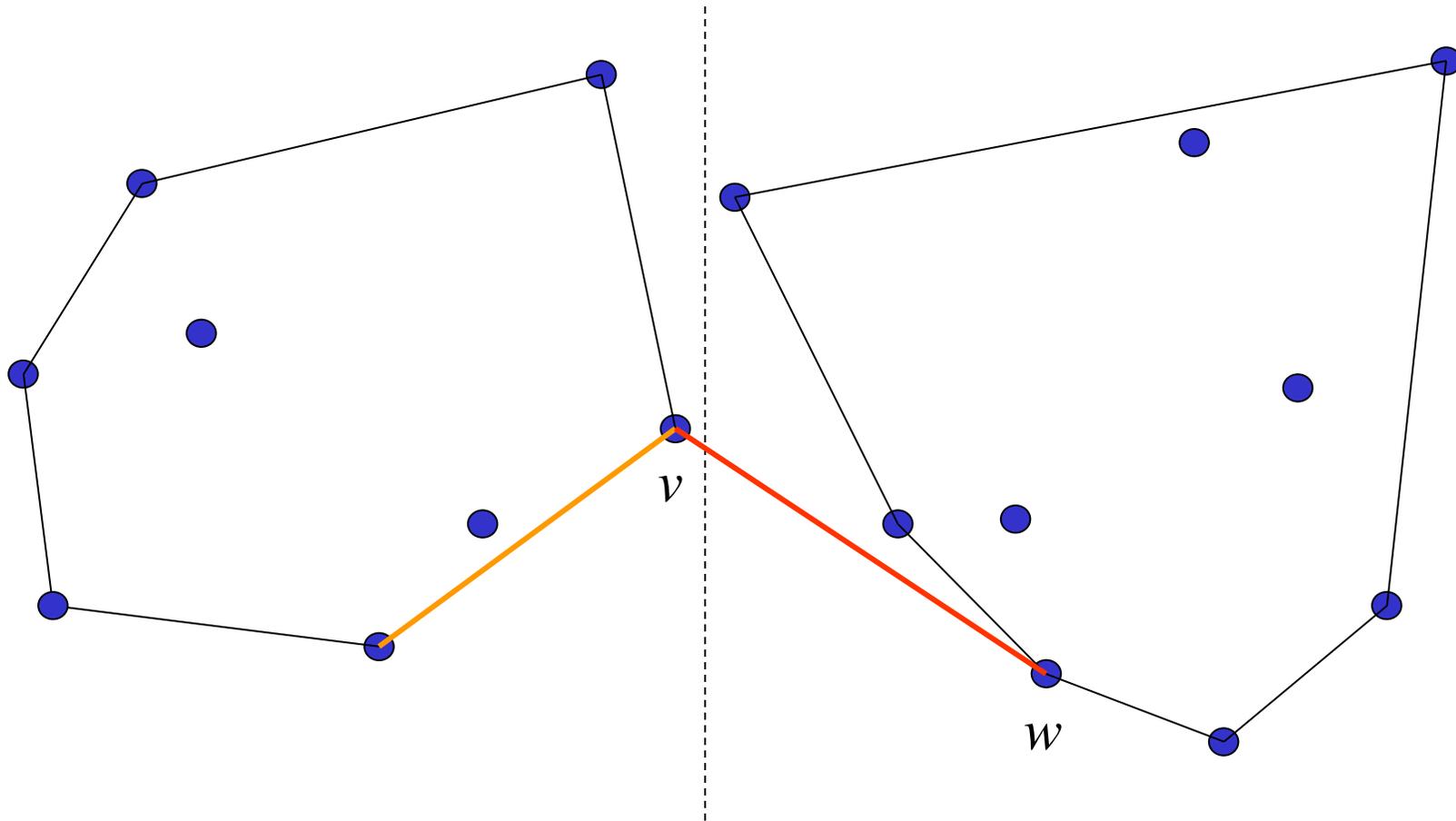
# Convex Hull - Divide & Conquer



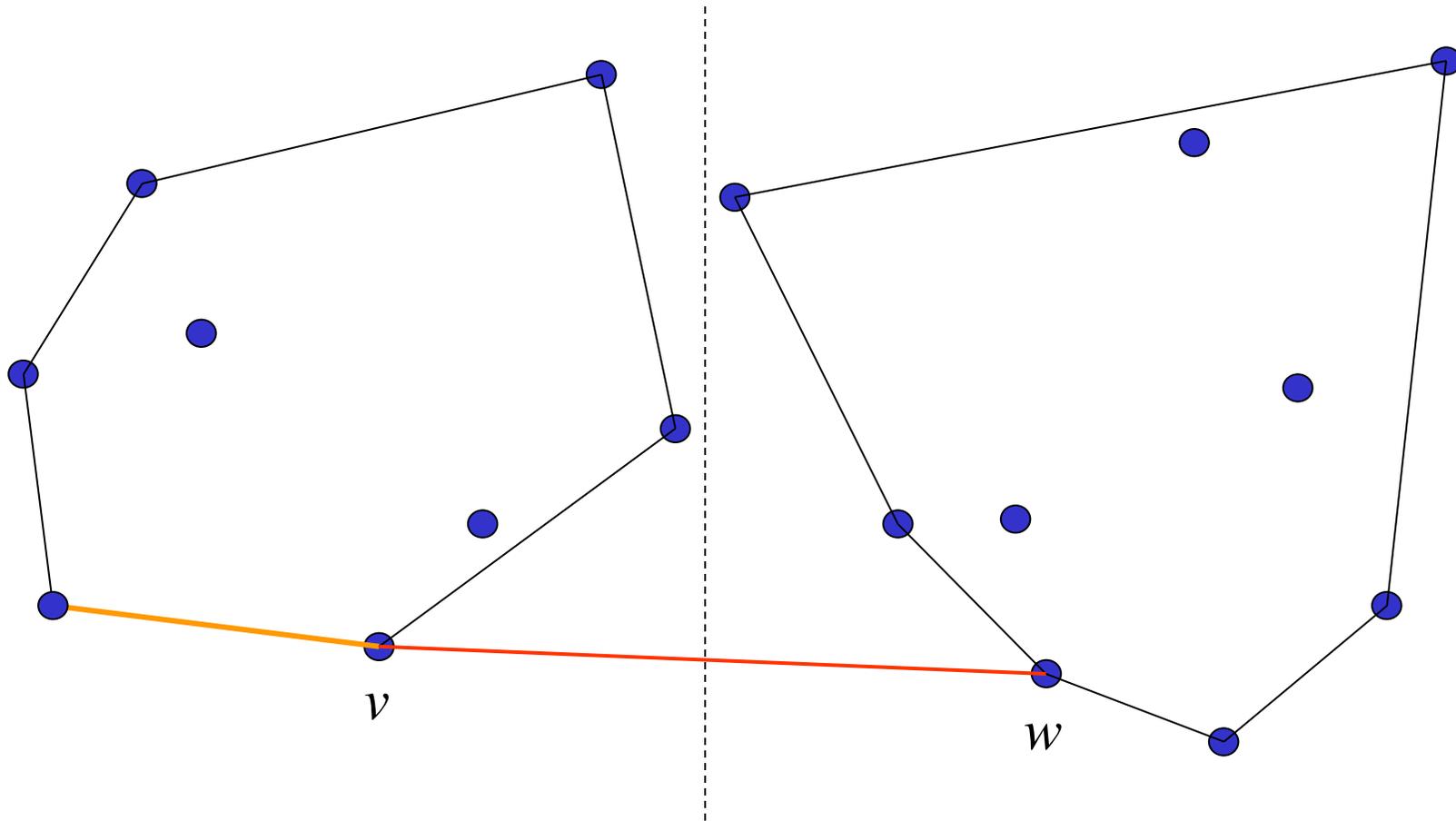
# Convex Hull - Divide & Conquer



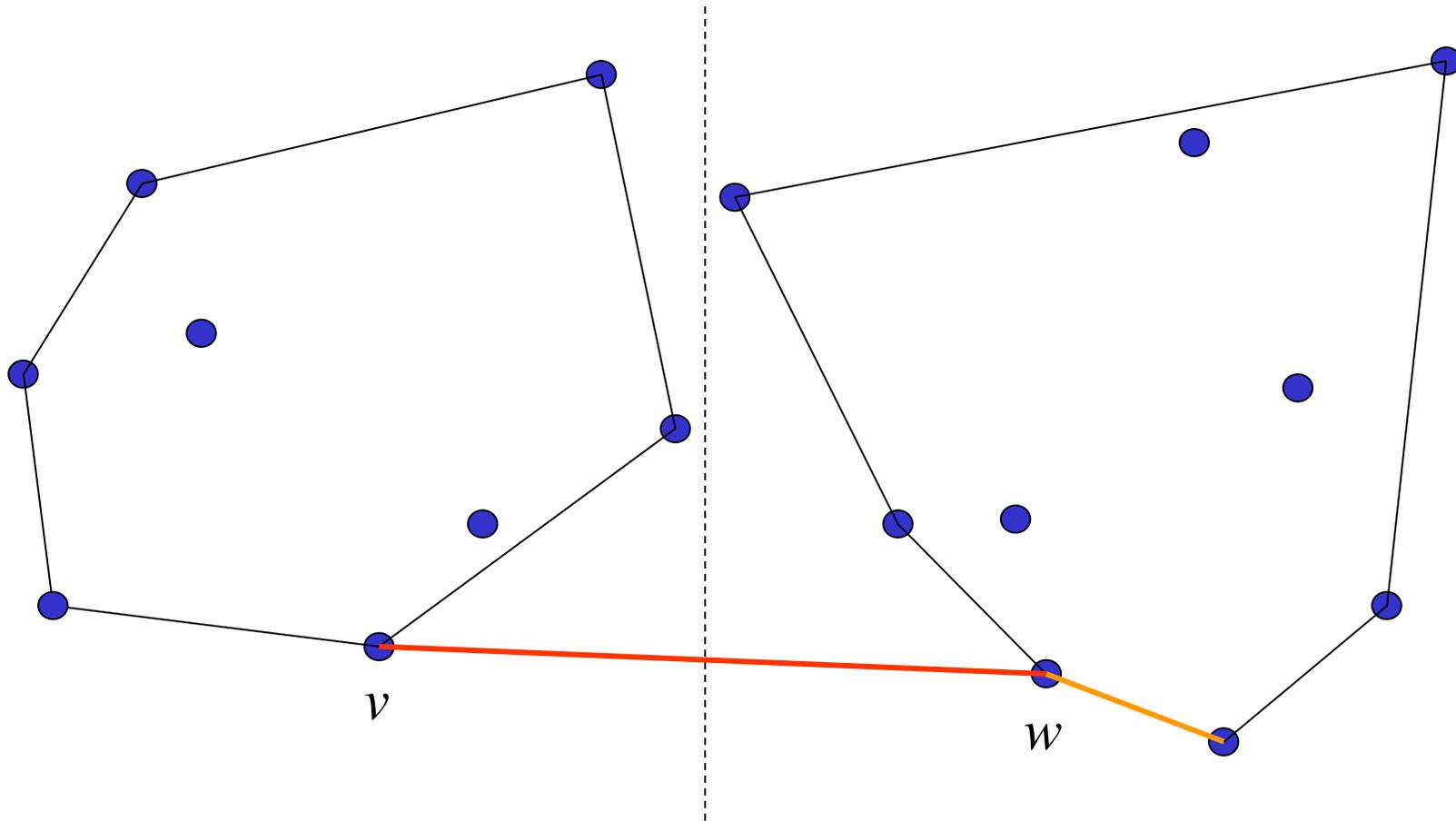
# Convex Hull - Divide & Conquer



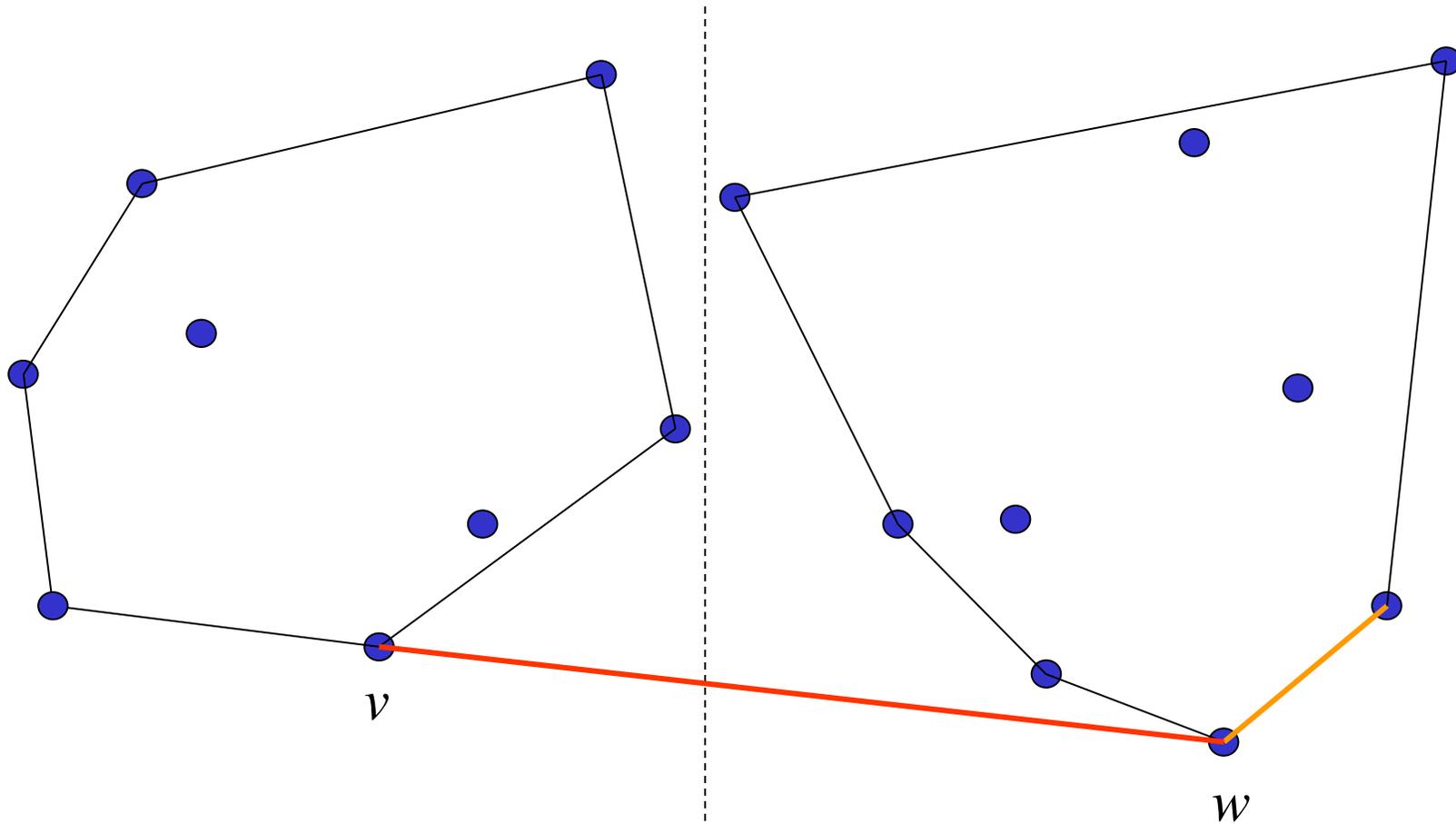
# Convex Hull - Divide & Conquer



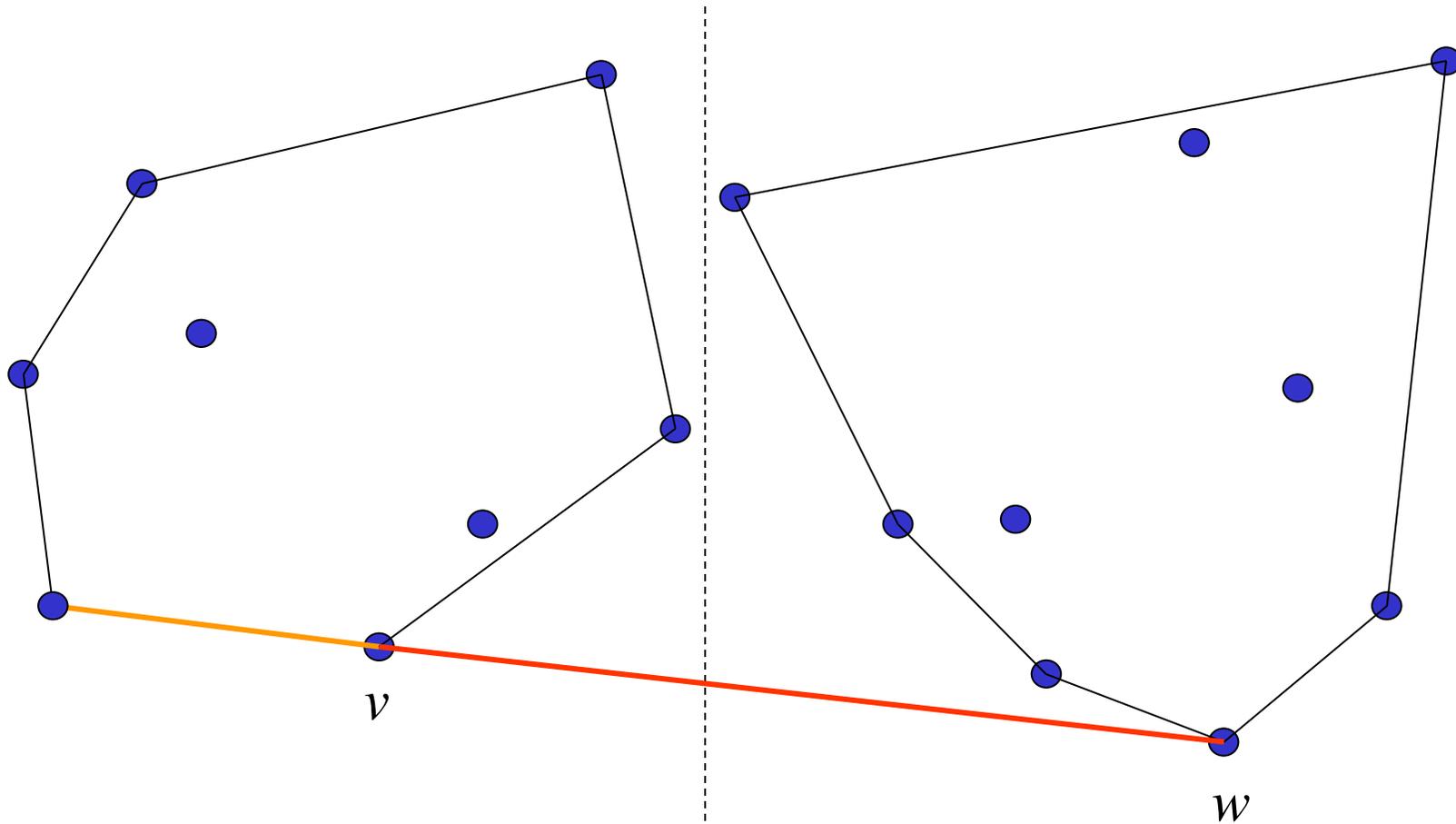
# Convex Hull - Divide & Conquer



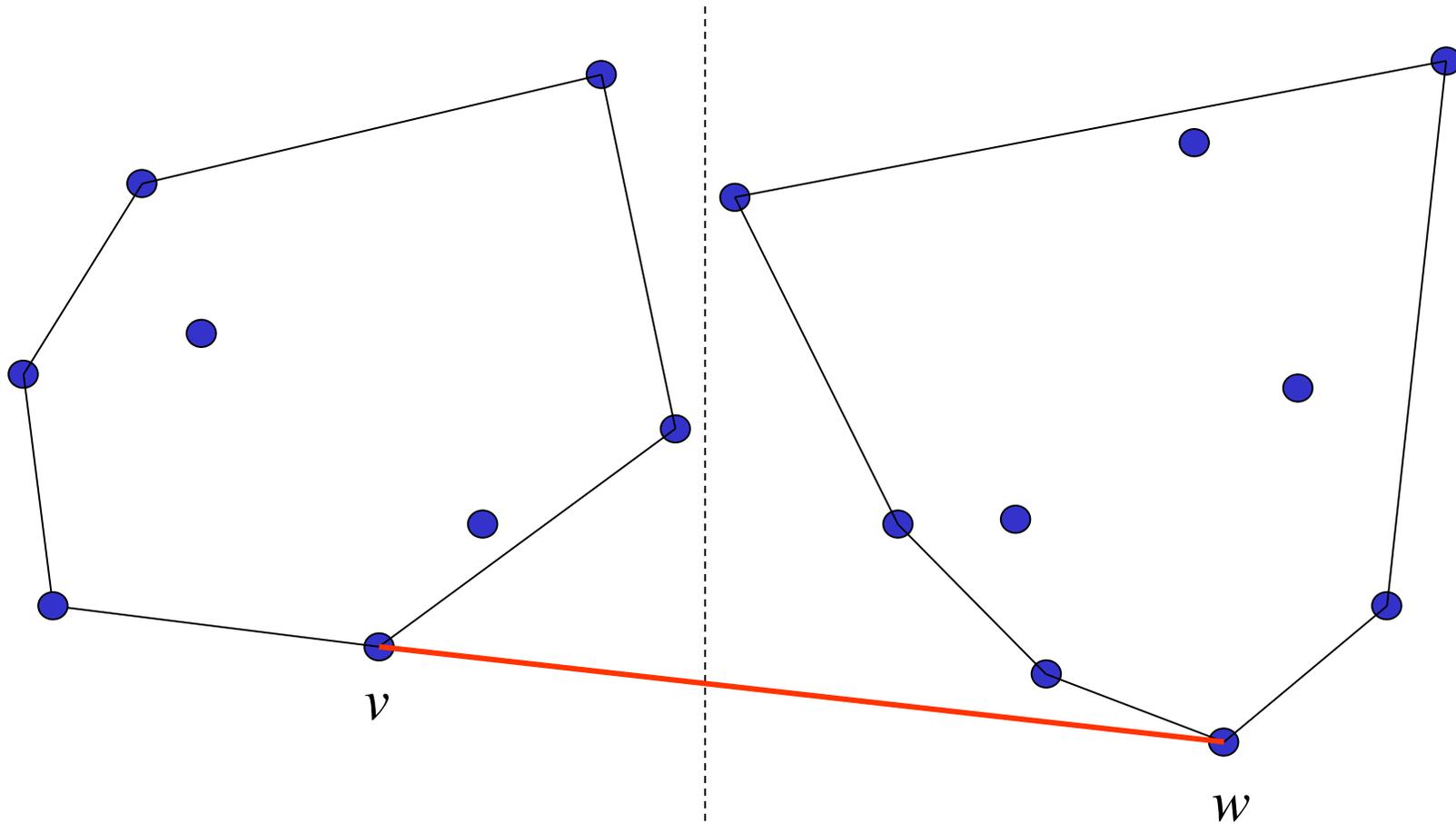
# Convex Hull - Divide & Conquer



# Convex Hull - Divide & Conquer



# Convex Hull - Divide & Conquer



# Convex Hull - D&C algorithm.

## Analysis:

1. Preprocessing:  $O(n \log n)$
2. Recursion: Each of the Divide and Combine steps takes  $O(n)$ : When calculating the bridges, each point is considered at most once,  $O(1)$  for each point.

Therefore:

$$T(n) = \begin{cases} O(1) & n \leq 3 \\ 2T(n/2) + cn & n > 3 \end{cases}$$

Implying  $T(n) = O(n \log n)$  (like mergesort)

Can we do better? Maybe not by D&C?

# Convex Hull - lower bound.

**Theorem:** Any algorithm for calculating convex hull takes  $\Omega(n \log n)$  time.

**Proof:** Given  $n$  positive numbers,  $x_1, x_2, \dots, x_n$ , correspond to each number  $x_i$  the point  $(x_i, x_i^2)$ , and find a convex hull of the  $n$  points.

These points all lie on the parabola  $y = x^2$ . The convex hull of this set consists of a list of the points sorted by  $x$ -coordinate.

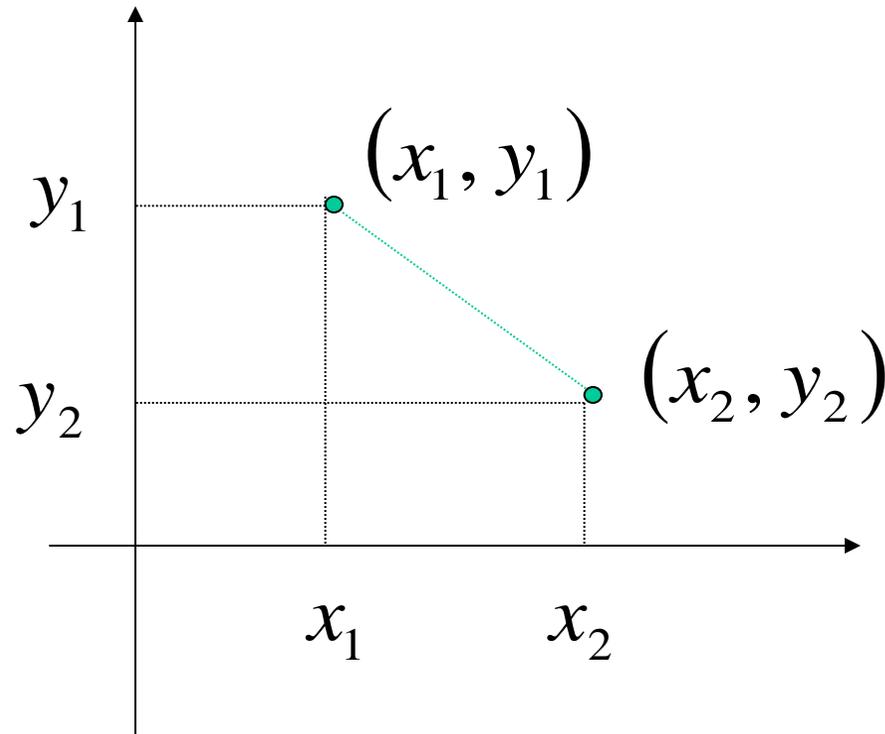
Therefore, if we could find a convex hull in time  $T(n)$  then we could sort in time  $T(n) + O(n)$ .

It is known that sorting takes  $\Omega(n \log n)$ , therefore, this lower bound applies also to finding the convex hull.

## Example 7: Closest Pair Problems

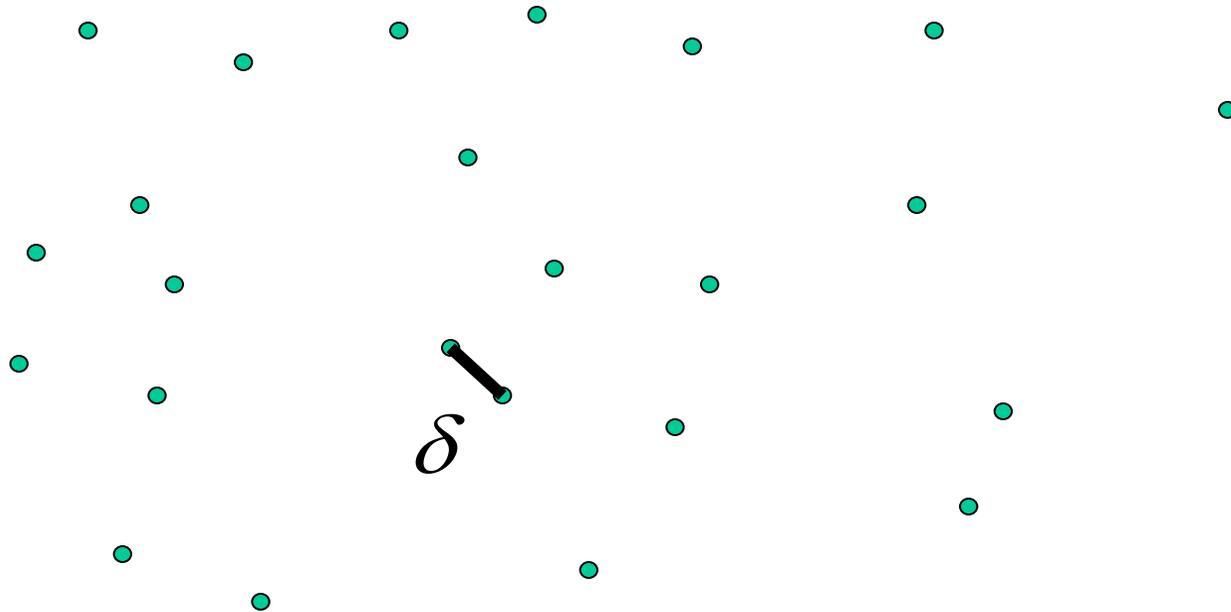
- **Input:**
  - A set of points  $P = \{p_1, \dots, p_n\}$  in two dimensions
- **Output:**
  - The pair of points  $p_i, p_j$  with minimal Euclidean distance between them.

# Euclidean Distances



$$\|(x_1, y_1) - (x_2, y_2)\| = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$$

# Closest Pair Problem



# Closest Pair Problem

- $O(n^2)$  time algorithm is easy
- Assumptions:
  - No two points have the same x-coordinates
  - No two points have the same y-coordinates (otherwise rotate a bit)
- How do we solve this problem in one-dimension (this is very easy)?
  - Sort the numbers and scan from left to right looking for the minimum gap
- Let's apply divide-and-conquer to the 1-dim problem:

# D&C for 1-dim closest pair

## - Divide

- $t = n/2$

## - Conquer

- $\delta_1 = \text{Closest-Pair}(A, 1, t)$
- $\delta_2 = \text{Closest-Pair}(A, t+1, n)$

## - Combine

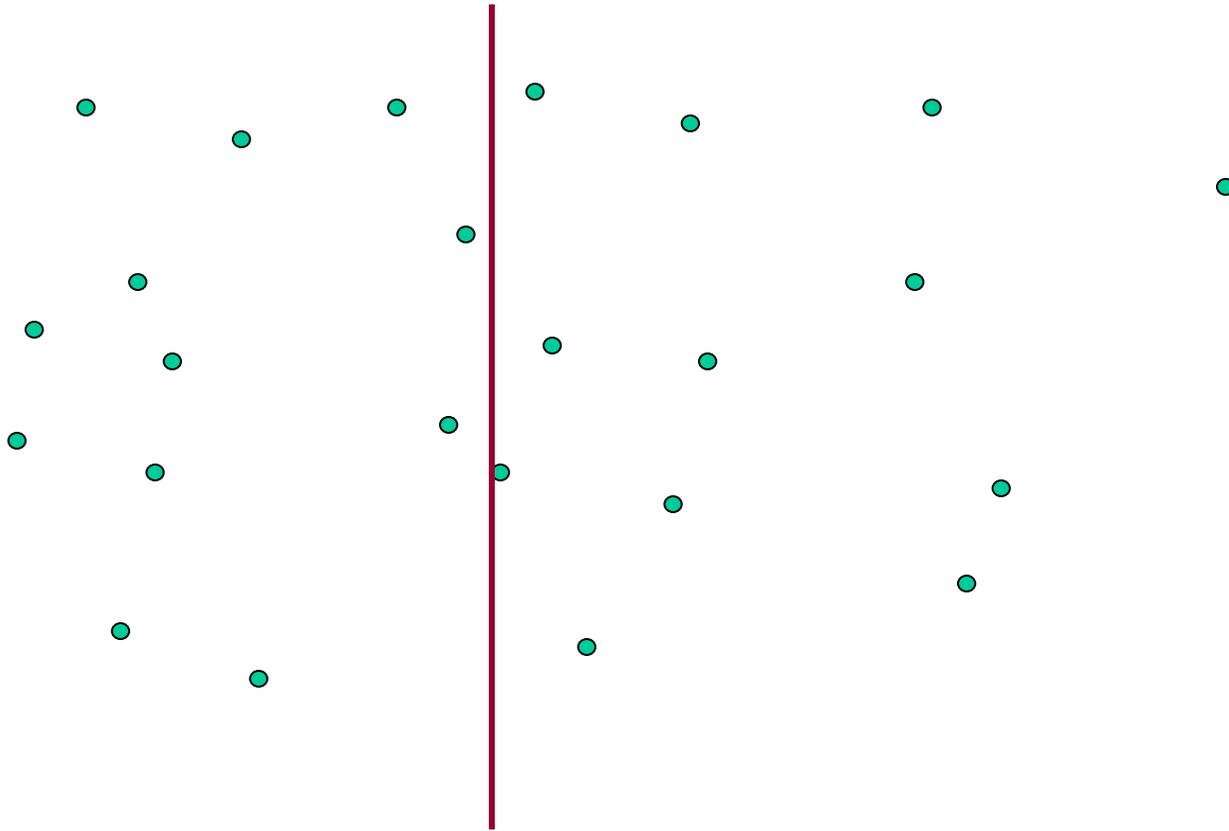
- Return  $\min(\delta_1, \delta_2, A[t+1]-A[t])$

Time:  $T(n) = 2T(n/2) + c \rightarrow T(n) = \Theta(n)$

## Divide and Conquer: 2-dim

- We will do better than  $O(n^2)$ .
- Intuitively, there is no need to really compare each pair.
- Divide and conquer can avoid it.

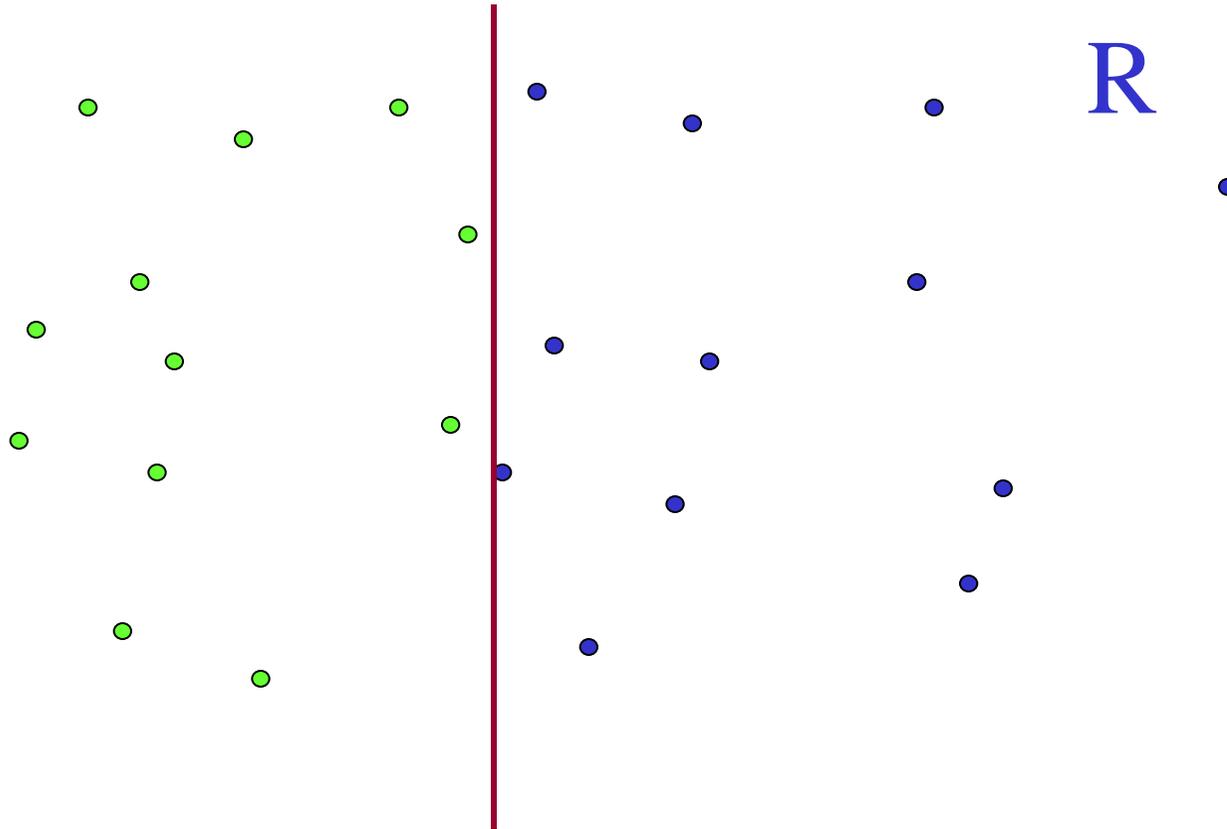
# Divide and Conquer for the Closest Pair Problem



Divide by x-median

# Divide

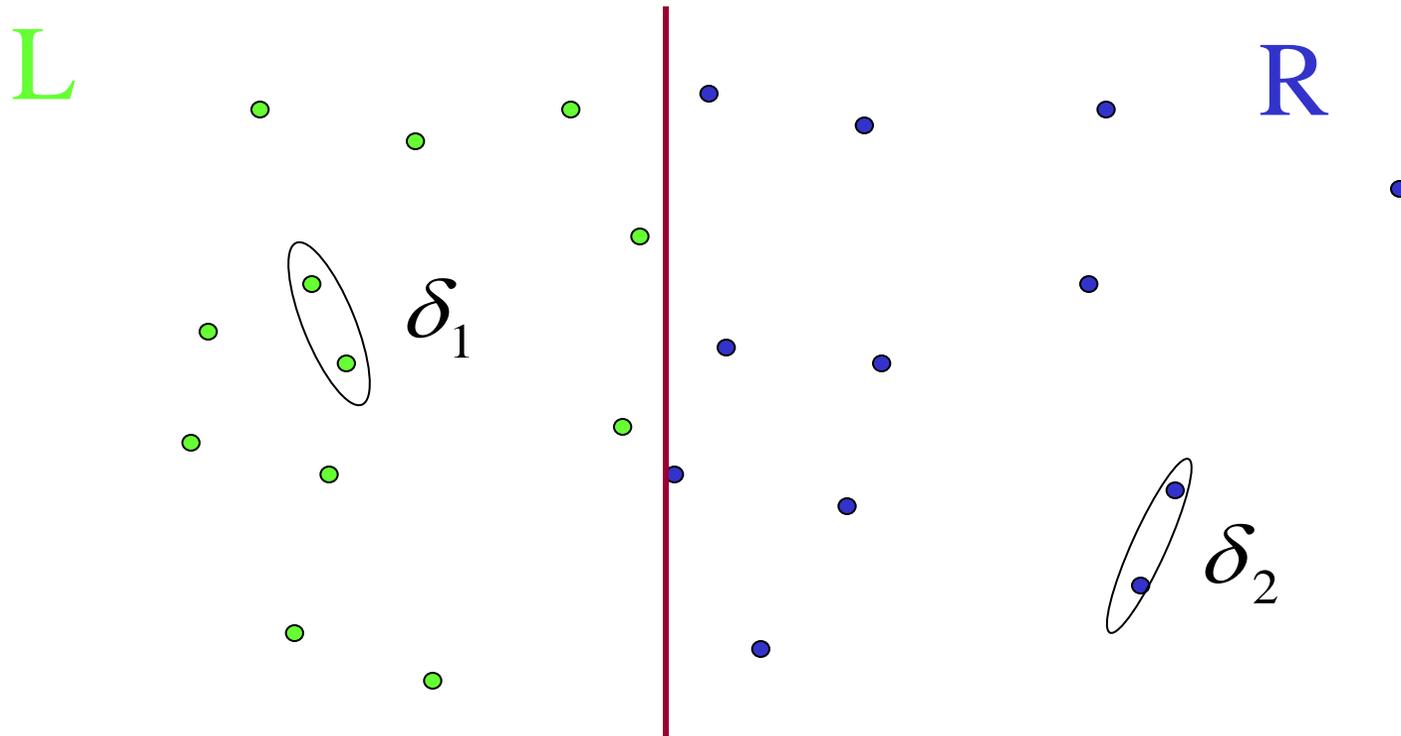
L



R

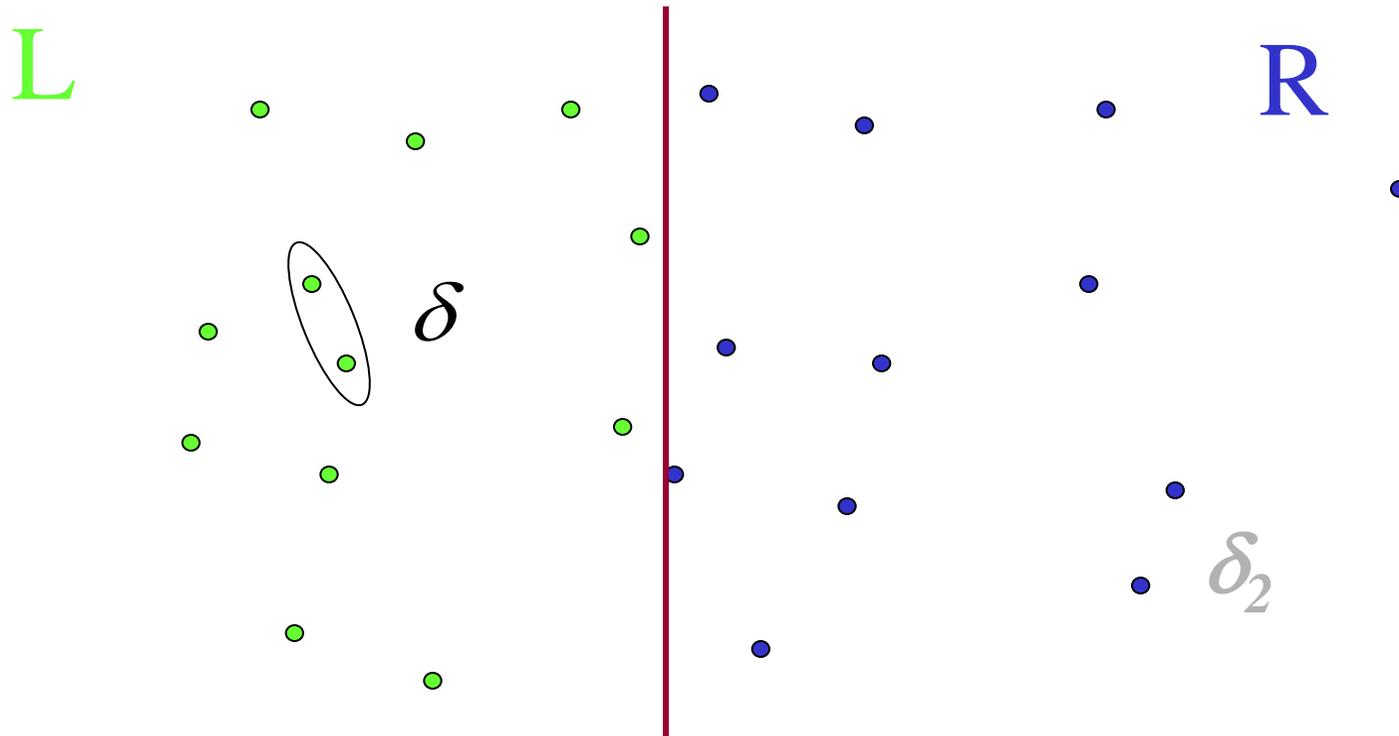
Divide by x-median

# Conquer



Conquer: Recursively solve L and R

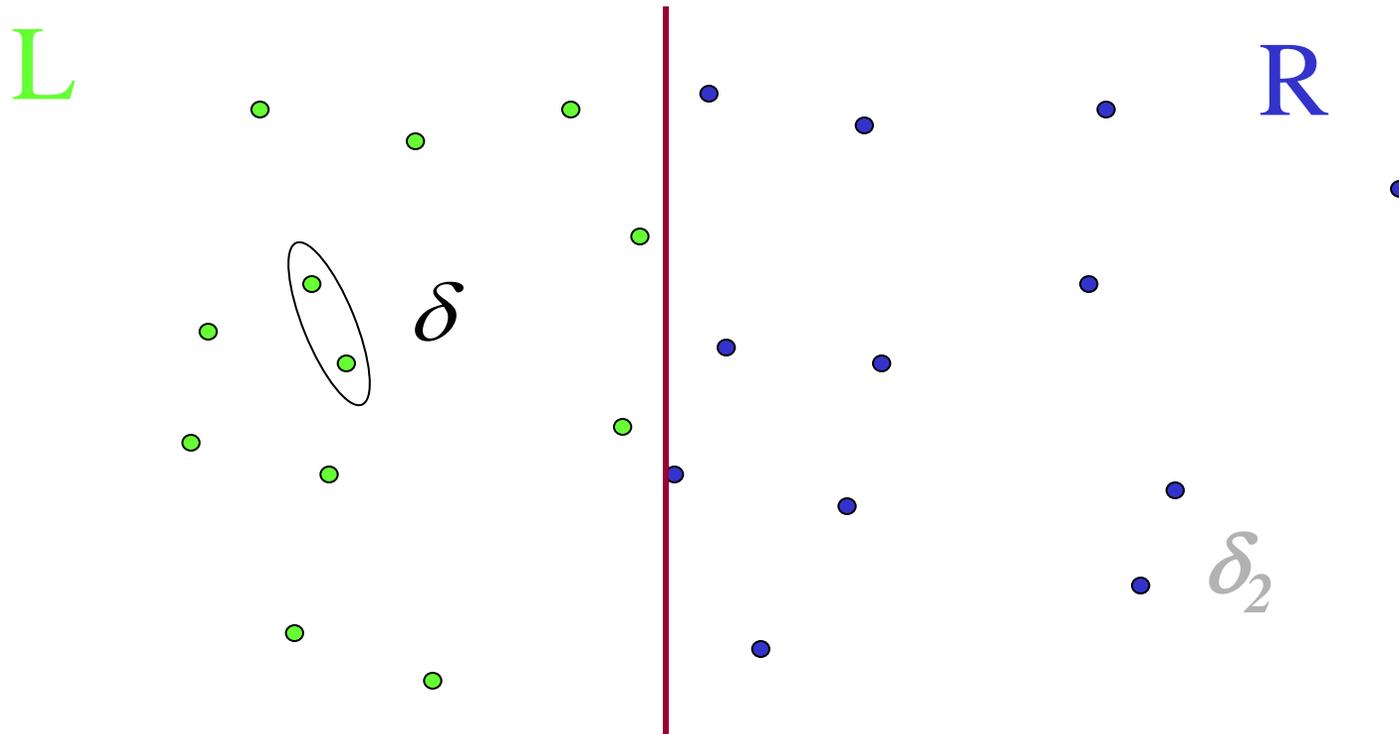
# Combine I



Take the smaller one of  $\delta_1, \delta_2$ :  $\delta = \min(\delta_1, \delta_2)$

# Combine II

but maybe there is a point in L and a point in R whose distance is smaller than  $\delta$ ?



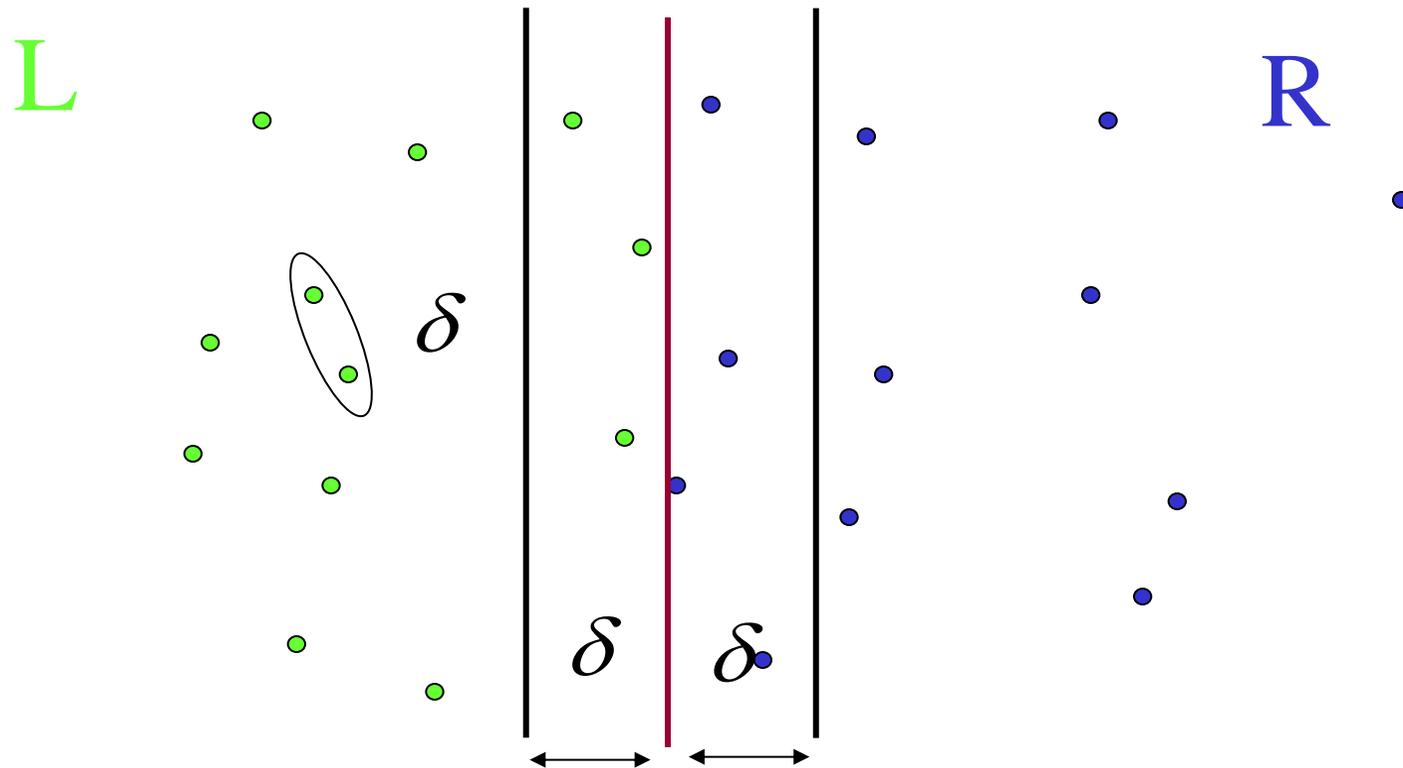
Take the smaller one of  $\delta_1, \delta_2$ :  $\delta = \min(\delta_1, \delta_2)$

## Combine II

- If the answer is "no" then we are done.
- If the answer is "yes" then the closest such pair forms the closest pair for the entire set
- How do we determine this?

# Combine II

Is there a point in  $L$  and a point in  $R$  whose distance is smaller than  $\delta$ ?

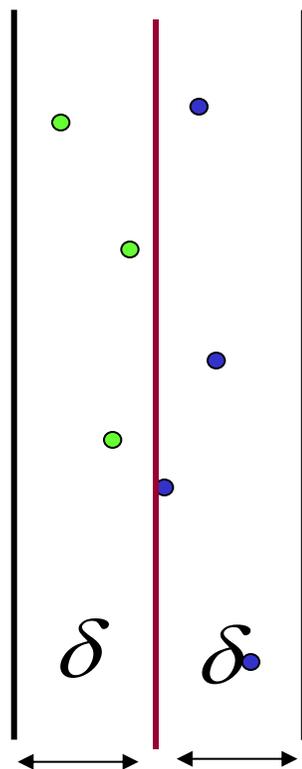


# Combine II

Is there a point in  $L$  and a point in  $R$  whose distance is smaller than  $\delta$ ?

$L$

We need to consider only the  $2\delta$ -narrow band. We will show that it can be done in  $O(n)$  time.



$R$

Denote this set by  $S$ . Assume  $S_y$  is a sorted list of  $S$  by  $y$ -coordinate.

## Combine II

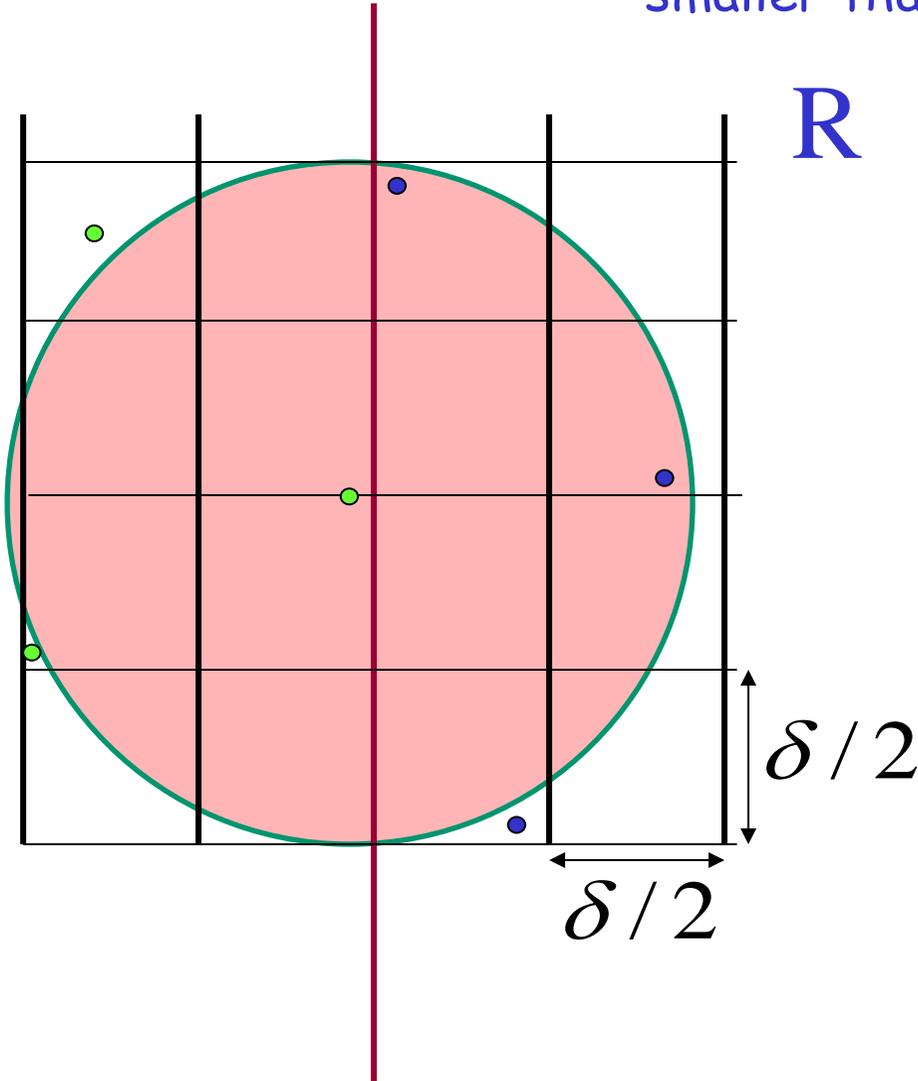
- There exists a point in  $L$  and a point in  $R$  whose distance is less than  $\delta$  if and only if there exist two points in  $S$  whose distance is less than  $\delta$  (why?).
- If  $S$  is the whole thing, did we gain anything?
- **Amazing claim:** If  $s$  and  $t$  in  $S$  have the property that  $||s-t|| < \delta$ , then  $s$  and  $t$  are within 8 positions of each other in the sorted list  $S_y$ .

# Combine II

Is there a pair of points, one in L and one in R, whose distance is smaller than  $\delta$ ?

L

R



There is at most one point in each box.

Top half of circle intersects 8 boxes.

In fact, can prove less than 8.

# D&C Algorithms for Closest-Pair

- Preprocessing:
  - Construct  $P_x$  and  $P_y$  as sorted-list by x- and y-coordinates
- Divide
  - Construct  $L, L_x, L_y$  and  $R, R_x, R_y$
- Conquer
  - Let  $\delta_1 = \text{Closest-Pair}(L, L_x, L_y)$
  - Let  $\delta_2 = \text{Closest-Pair}(R, R_x, R_y)$
- Combine
  - Let  $\delta = \min(\delta_1, \delta_2)$
  - Construct  $S$  and  $S_y$
  - For each point in  $S_y$ , check each of the next 8 points in  $S_y$ .
  - If the distance is less than  $\delta$ , then update  $\delta$  to be the new distance

# Closest-Pair - Time Analysis

- Preprocessing:  $O(n \log n)$  time
- Divide:  $O(n)$
- Conquer:  $2T(n/2)$
- Combine:  $O(n)$

$$T(n) = 2T(n/2) + O(n) \rightarrow O(n \log n) \text{ time}$$